

Unearthing the intersections:
positivity bounds, weak gravity conjecture,
and asymptotic safety landscapes from photon-graviton flows

Alessia Platania

Based on:

Basile, Platania - arXiv:2107.06897

Knorr, Platania - arXiv:2405.08860

Quantum Gravity and Cosmology
Shanghai, 04.07.2024



The Niels Bohr
International Academy

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COPENHAGEN



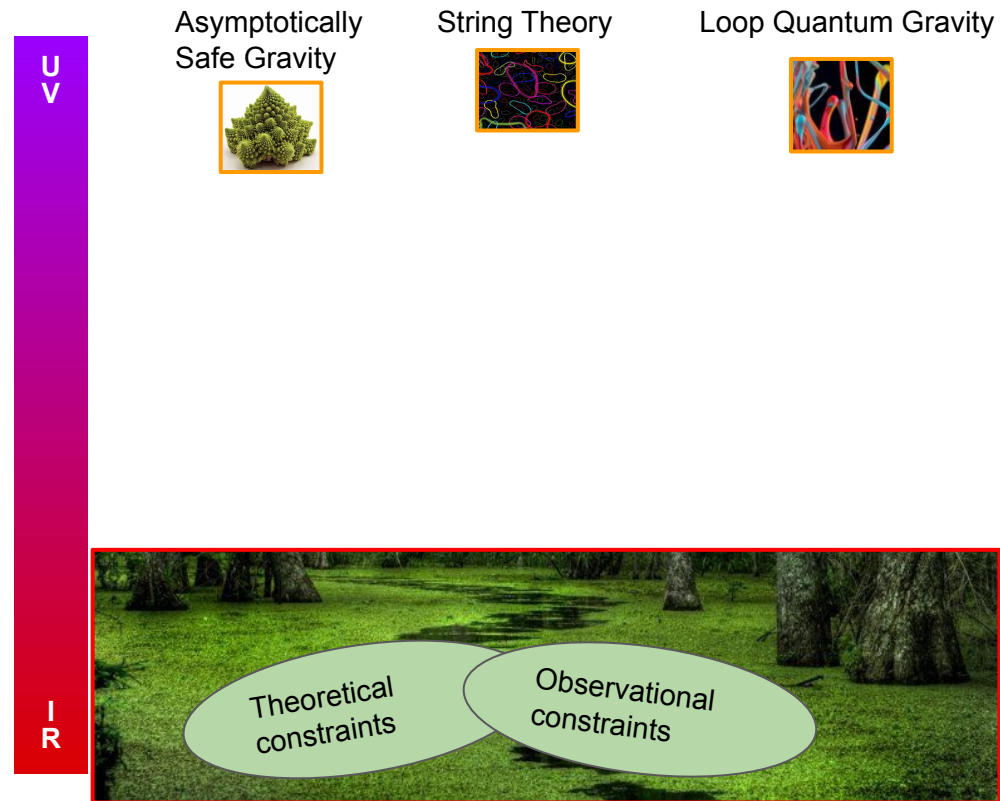
Some reflections on the status of the field

- QG is a multi-scale problem
 - Different theories / UV completions \Rightarrow different fundamental properties (and different conceptual and technical problems). Details relevant at trans-Planckian scales.
 - Observations spanning intermediate to large distances (cosmology, dark energy, gravitational waves)
 - EFT: consistency constraints in the IR

- Technical and conceptual interrelated difficulties in connecting UV and IR, and different UVs
 - Theory is not driven by experiment (scale separation)
 - Difficult to make predictions from scratch
 - Equivalent theories?
Comparing approaches in the UV is like comparing apples with bananas!

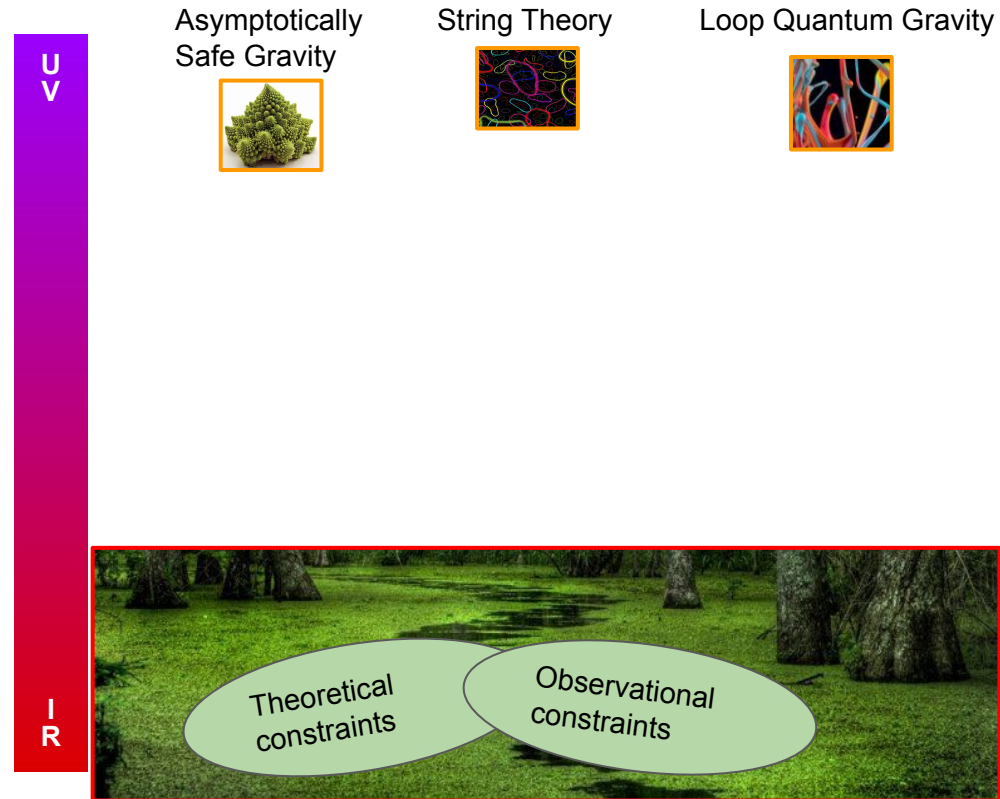
- A “decoupling phenomenon” in gravity
 - “Formal” QG communities: much focus on the UV
 - Pheno & EFT communities: much focus on the IR

- **Task**: define map/recipe to connect UV and IR
- **Expectation/hope**: not everything goes, QG is predictive



Quantum gravity through the lens of effective field theory

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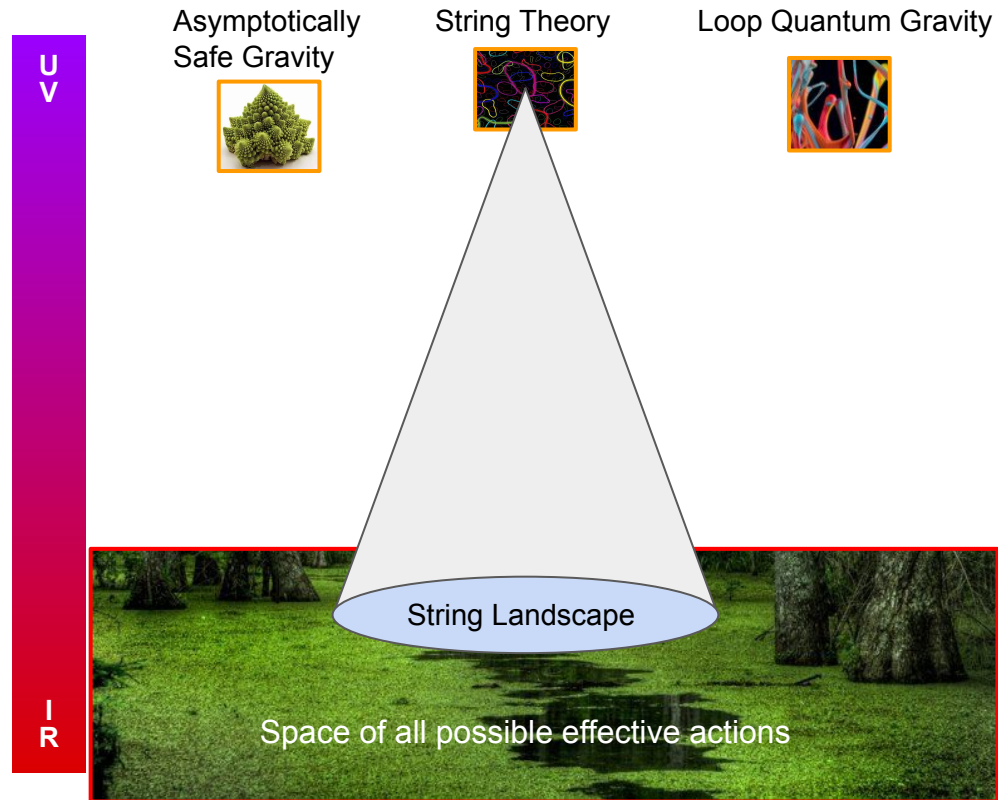
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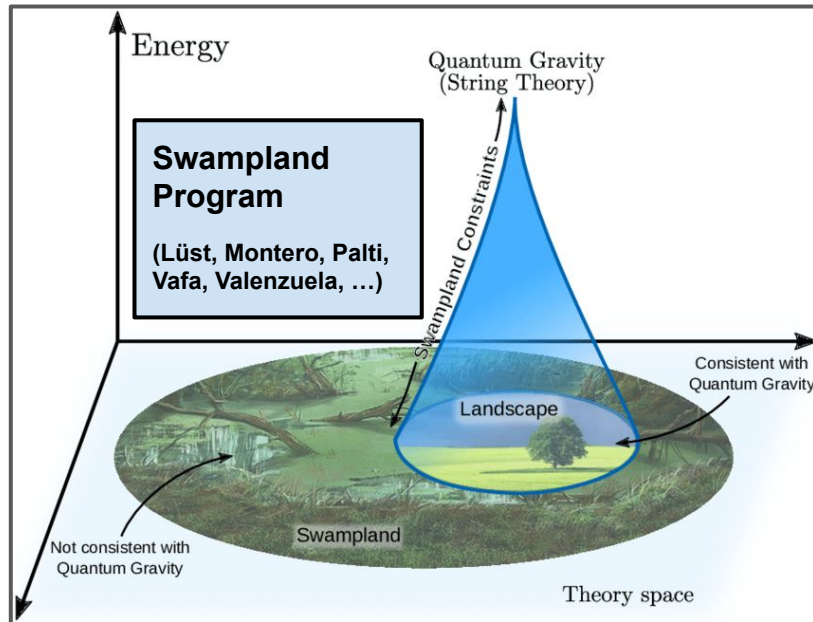
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Quantum gravity through the lens of effective field theory

One attempt within String Theory: the “swampland program”

- Find criteria that select consistent EFTs (that come from UV-complete QG+matter)
- Criteria inspired by universal patterns in string constructions or derived by EFT/BH arguments (a few based on solid grounds or even proofs)



UV
IR

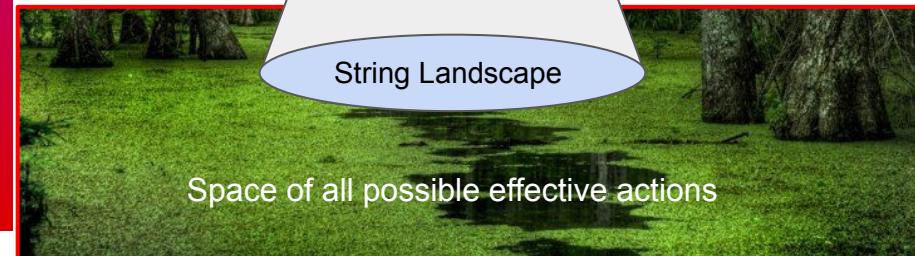
Asymptotically Safe Gravity



String Theory



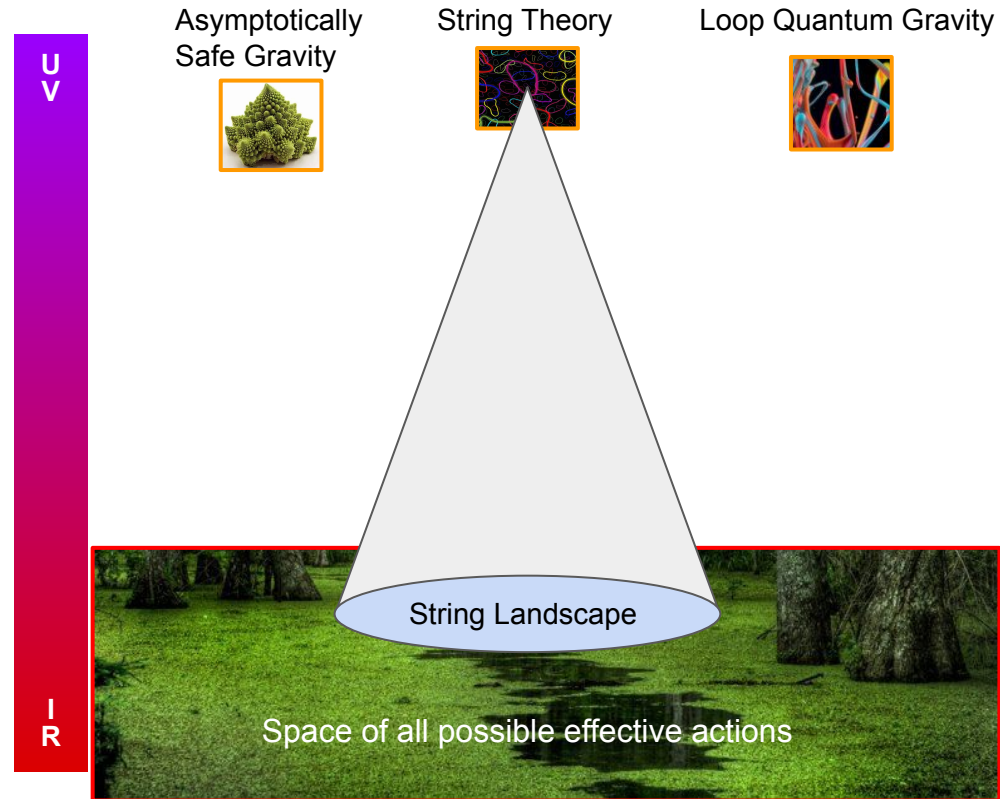
Loop Quantum Gravity



Quantum gravity through the lens of effective field theory

Can the “big picture” of the swampland program be generalized?

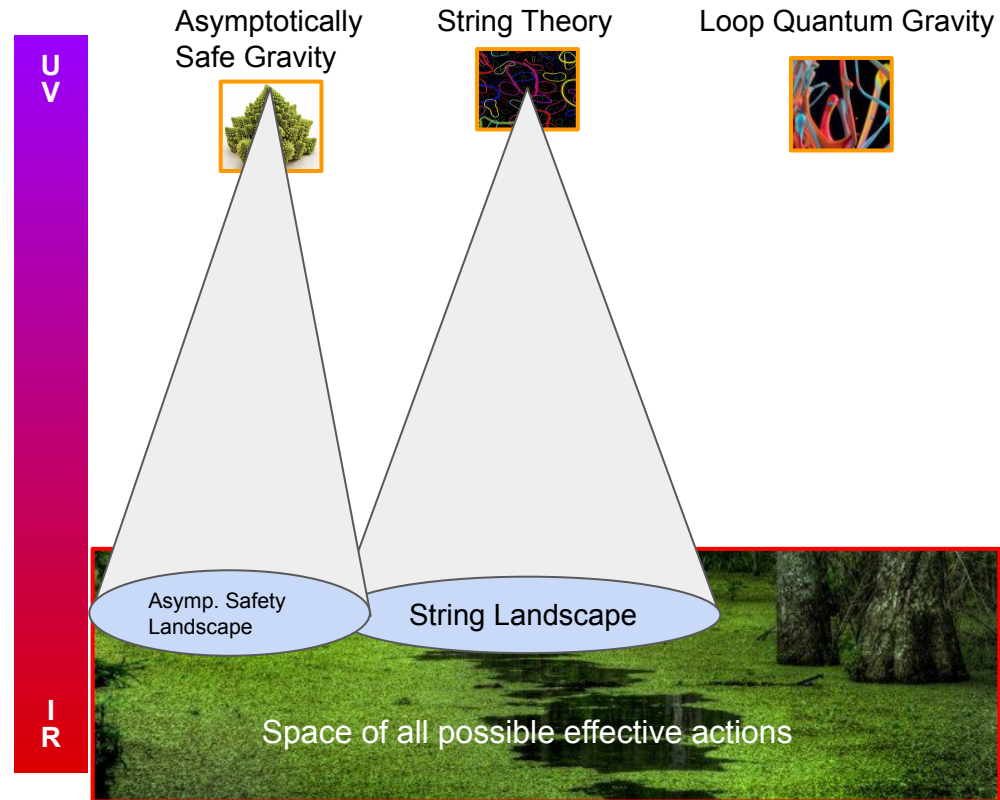
[Basile, AP, '21]



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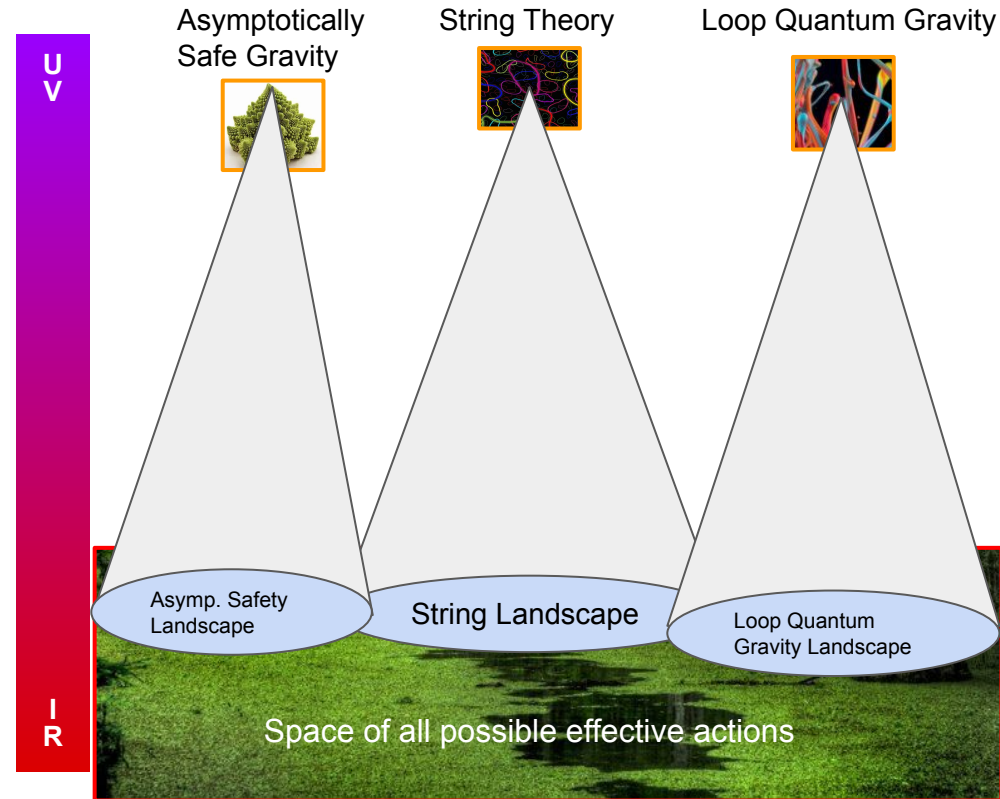
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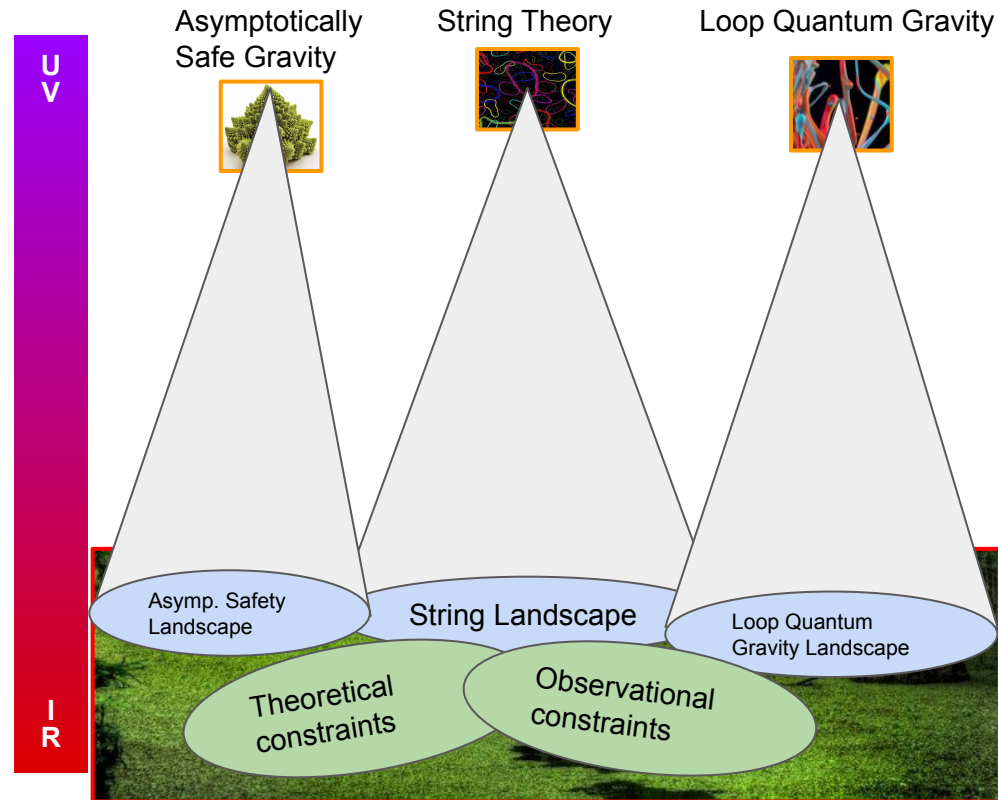
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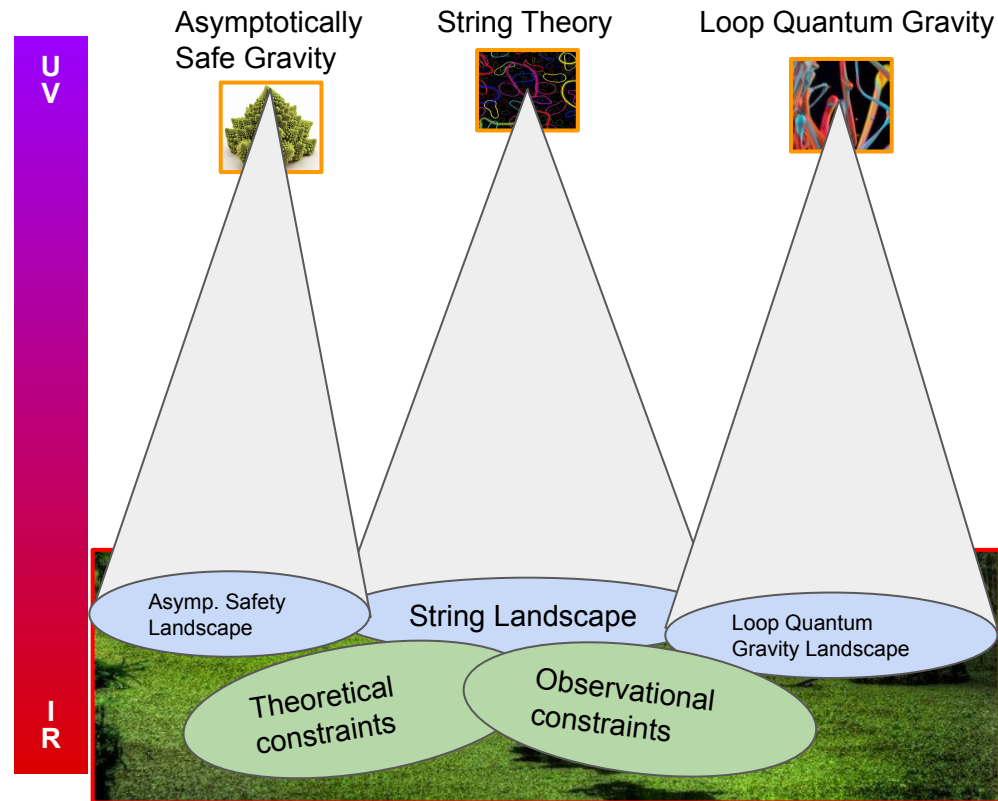
Quantum gravity through the lens of effective field theory

Several interesting questions at the intersections:

- **Consistency**, e.g., compatibility of QG predictions with positivity bounds (unitarity, causality, stability)
- **Tests of Swampland Constraints & string “universality”**: are they all general? Do they apply to all (consistent) QG or they only identify EFTs stemming from ST?

c.f. **String Lamppost Principle** [Montero, Vafa, '21]:
“All consistent quantum gravity theories are part of the string landscape”

- Comparison between **predictions of different QG approaches**? Connections between approaches?
- Comparison with bounds from **observations**?



Quantum gravity through the lens of effective field theory

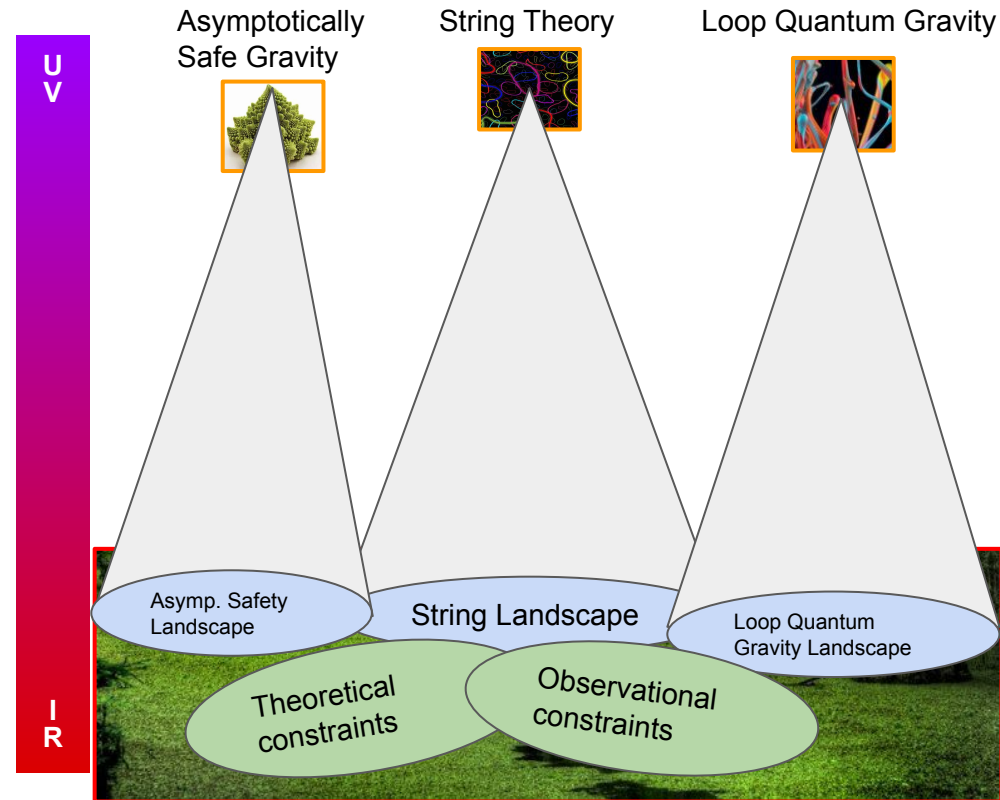
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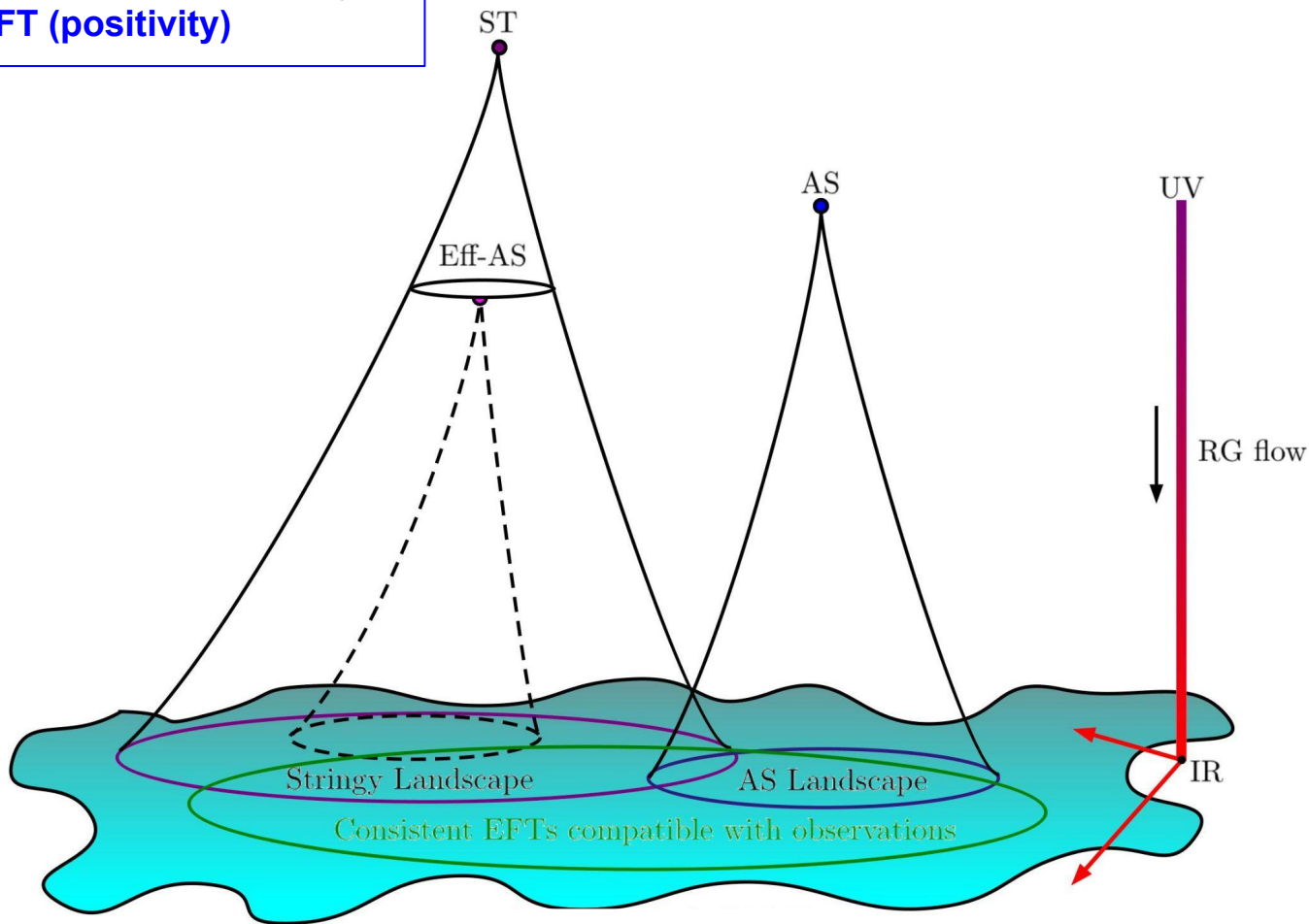
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Focus of this talk: AS



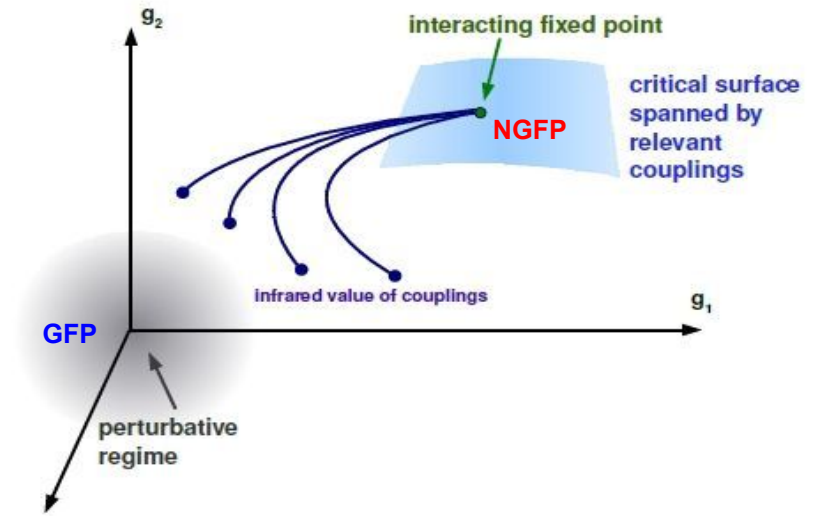
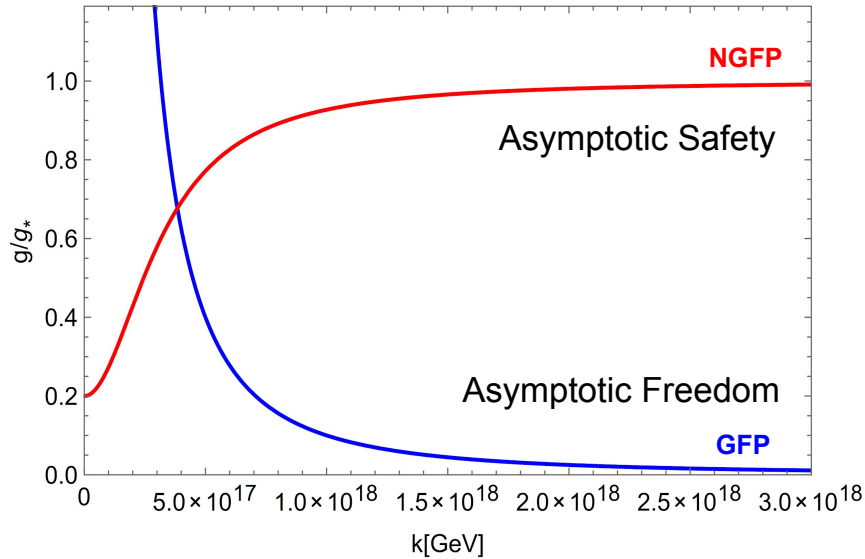
This talk:

- AS vs ST (some swamp conjs)
- AS vs EFT (positivity)



**Landscapes
in Asymptotically Safe Gravity**

Asymptotic Safety in a Nutshell



Idea: *gravity non-perturbatively renormalizable, interacting UV-completion*

(Weinberg, '76)

Testing asymptotic safety:

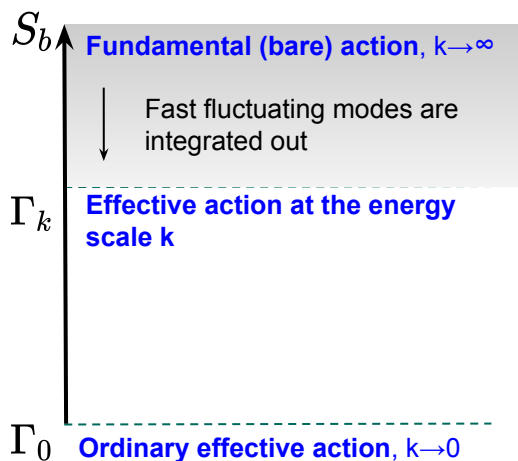
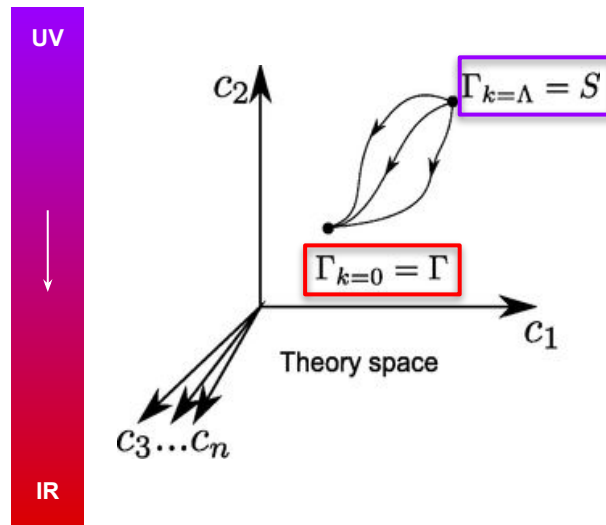
- **Lattice-like computations:** causal/euclidean dynamical triangulations
- **Semi-analytical computations:** exact renormalization group ("AS community")

Functional Renormalization Group

Solving the **quantum theory** is equivalent to solve the functional **renormalization group equation**

$$k\partial_k \Gamma_k = \frac{1}{2} \text{STr} \left\{ \left(\Gamma_k^{(2)} + \mathcal{R}_k \right)^{-1} k\partial_k \mathcal{R}_k \right\}$$

C. Wetterich. *Phys. Lett. B* 301:90 (1993)
 M. Reuter. *Phys. Rev. D.* 57 (2): 971 (1998)



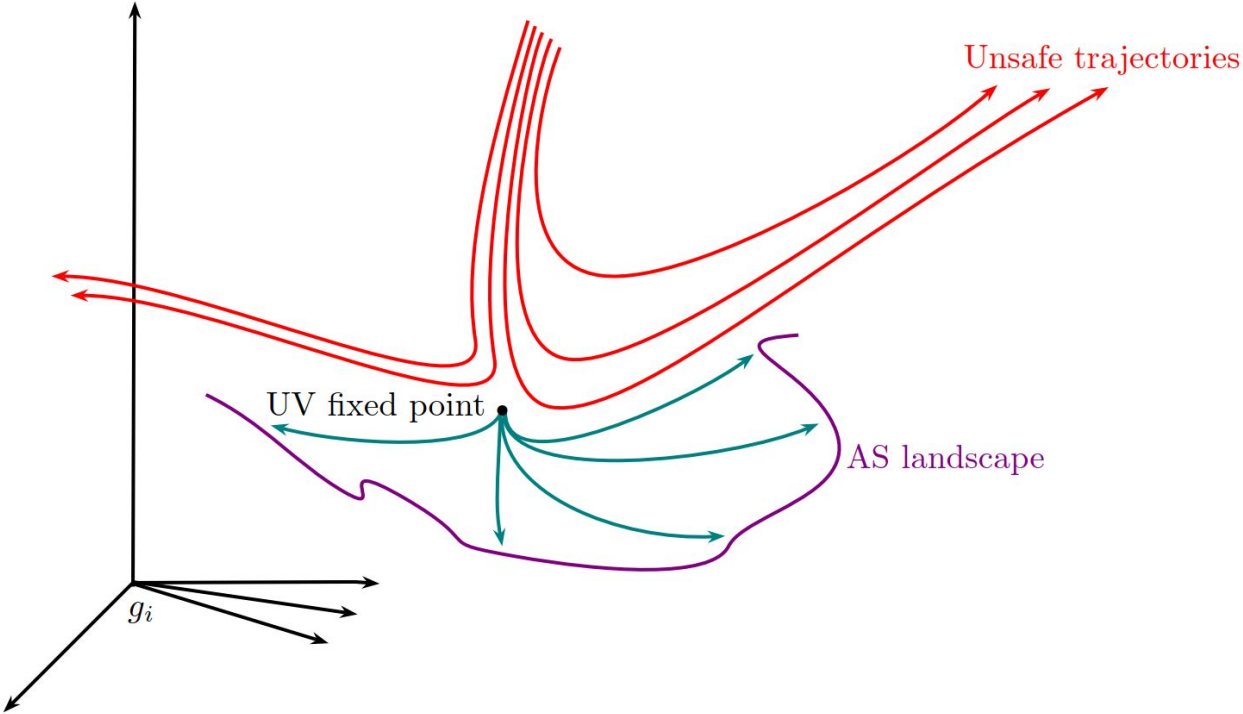
UV fixed points = bare actions, N relevant directions

Effective action (limit $k \rightarrow 0$), infinitely many terms parametrized by N free parameters

⇒ **S-matrix, Wilson coefficients, observables**

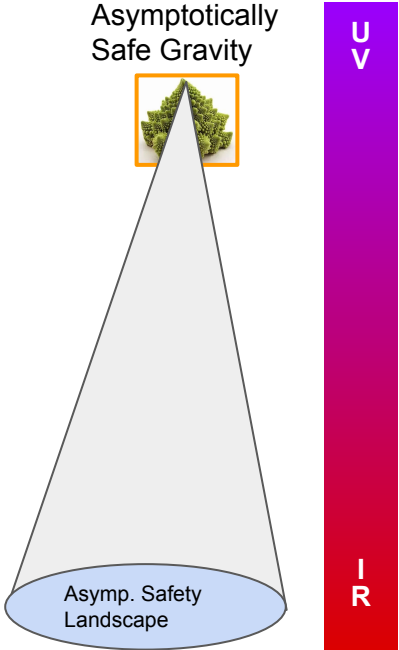
Implementation in AS: defining the asymptotic safety landscape

Theory space of dimensionless running couplings

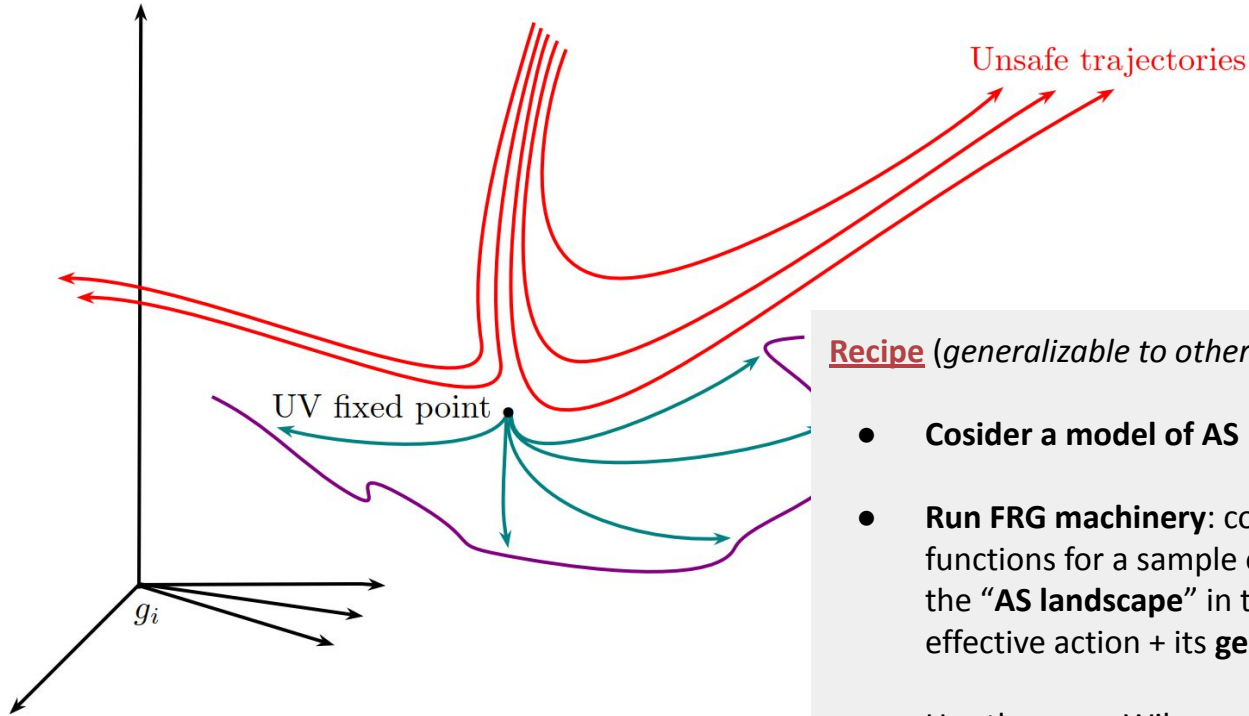


So far:

- Much focus of the AS community on UV fixed points and a few RG trajectories
- Less about constraining the Wilson coefficients and their intersections with bounds



Implementation in AS: defining the asymptotic safety landscape



Recipe (generalizable to other approaches?)

- Consider a model of AS (truncation of the action)
- Run FRG machinery: compute beta functions, solve beta functions for a sample of UV-complete trajectories, identify the “AS landscape” in terms of Wilson coefficients in the effective action + its **geometry**
- Use the same Wilson coefficients to identify the **region allowed by positivity bounds / swampland conjectures (string landscape) / other QG landscapes / observational bounds...**
- Find the **intersections** between AS landscape and other sets

Implementation in AS: defining the asymptotic safety landscape

Defining the Wilson Coefficients (+ caveats)

- Defining the Wilson coefficients with the FRG:

$$W_{G_i} \equiv \lim_{k \rightarrow 0} G_i(k)$$

- **Or, actually: we only measure dimensionless quantities**, thus we need one unit mass scale (e.g., Newton coupling) and N-1 dimensionless Wilson coefficients to parametrize the landscape of EFTs (N=number of relevant directions)

$$w_{G_i} \equiv \lim_{k \rightarrow 0} G_i(k) M_{Pl}^p$$

- **CAVEAT 1: Wick rotation needed! FRG is typically based on Euclidean computations. But the results may be the same as in Lorentzian settings**

[Fehre, Litim, Pawłowski, Reichert '21]

- **CAVEAT 2: Defining Wilson coefficients in the presence of Log running in the IR is ambiguous, and one needs a prescription. Our prescription: use the transition scale to QG.**

$$w = a + b \log(k^2 / M_{Pl}^2) + b(\log(k_0^2) - \log(k_0^2))$$

[Basile, AP '21]

$$= \tilde{a} + \tilde{b} \log(k/k_0^2)$$

[Knorr, AP '24]

Case Study 1

AS landscapes in one-loop
quadratic gravity
vs
Swampland Constraints

- **AS toy model**: one-loop quadratic gravity

$$\mathcal{L} = \frac{2\Lambda - R}{16\pi G} + \frac{1}{2\lambda} C^2 - \frac{\omega}{3\lambda} R^2 + \frac{\theta}{\lambda} E$$

- **Three dimensionless Wilson coefficients** (+ gauss-bonnet, but decoupled)
One dimensionful coupling sets the mass unit scale!

$$G\Lambda, \quad g_R = -\frac{\omega}{3\lambda}, \quad g_C = \frac{1}{2\lambda}$$

- Beta function and fixed points [(Codello, Percacci, 2006)]

$$\lambda_* = 0, \quad \omega_* = \omega_{\pm} \equiv \frac{-549 \pm 7\sqrt{6049}}{200}, \quad \theta_* = \frac{56}{171}$$

$$\tilde{\Lambda}_* \approx 0.221, \quad \tilde{G}_* \approx 1.389$$

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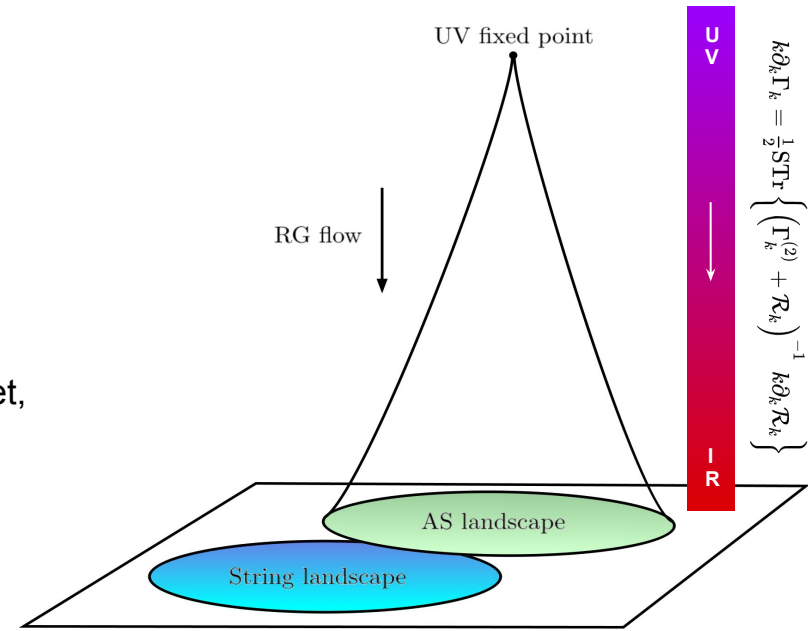
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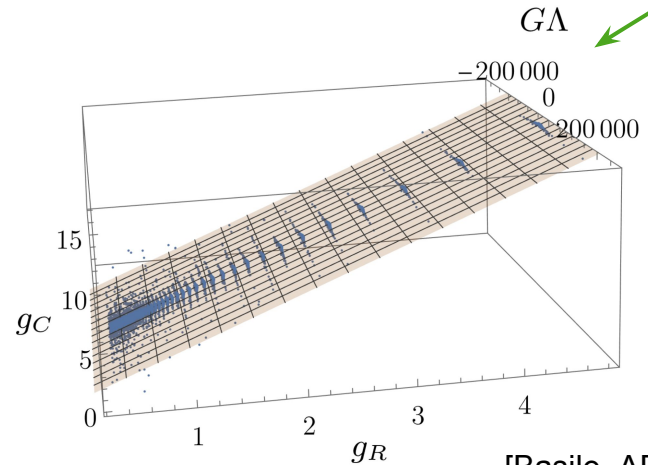
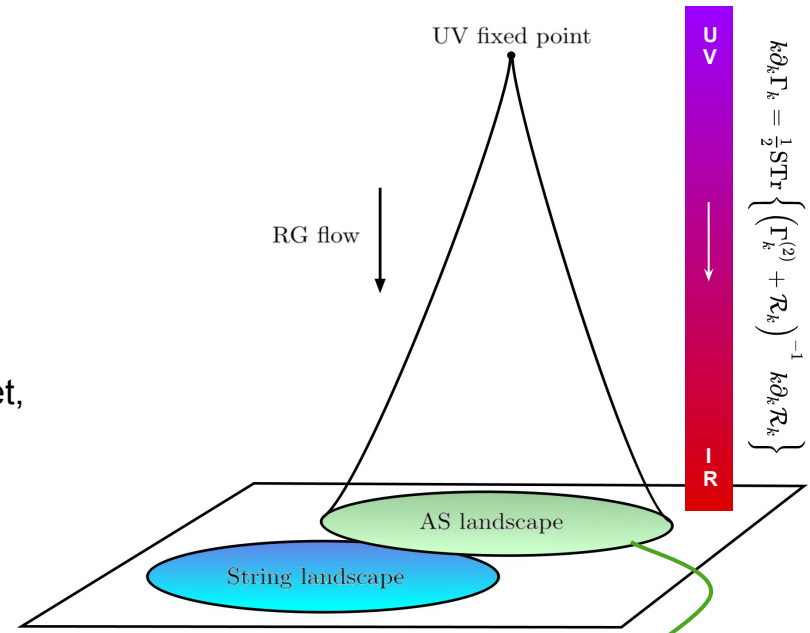
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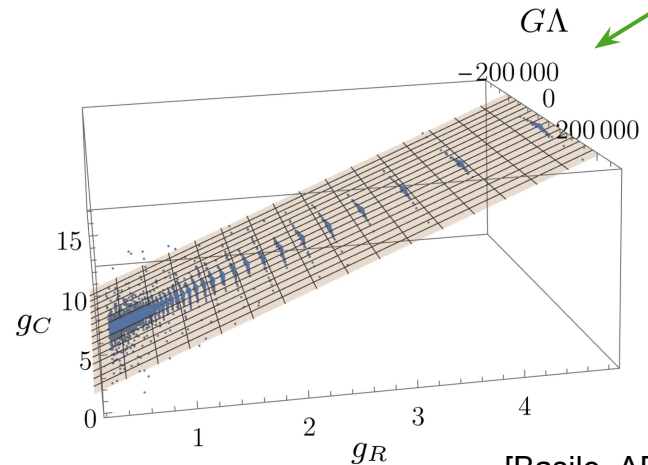
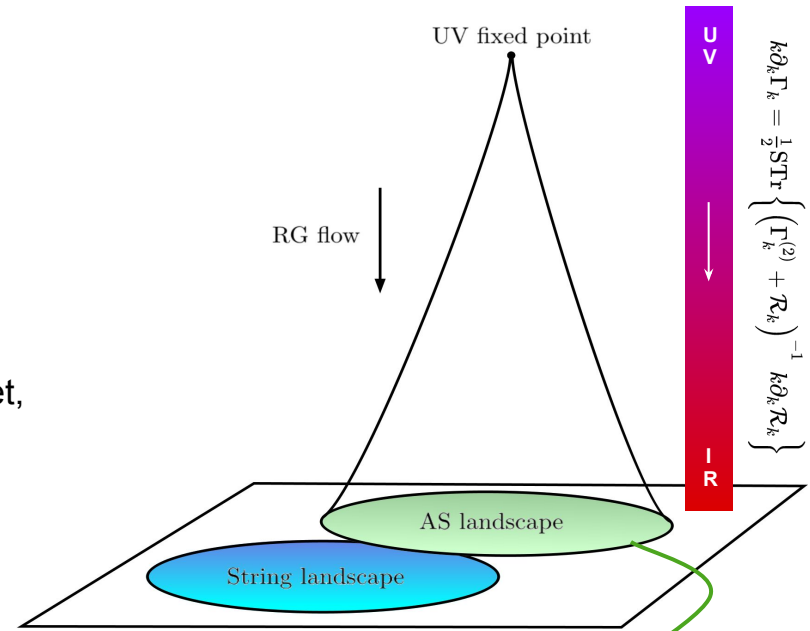
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The Wilson coefficients stemming from an AS fixed point lie on a plane

$$\text{EFT}_{\text{AS}} \approx \left\{ g_R = -0.74655 - \frac{2}{3} \omega_- g_C \right\}$$

$$g_C > 0$$



Result from
~ 10⁷ num
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- **Swampland conjectures:**

→ **Weak gravity conjecture** (Arkani-Hamed, Motl, Nicolis, Vafa, 2006)

$$m/M_{Pl} \leq q \mathcal{O}(1)$$

Black holes remain sub-extremal:

$$Q/M \leq (Q/M)_{extr}$$

Higher derivative corrections [(Kats, Motl, Padi, 2007), (Charles, Larsen, Mayerson, 2017), (Cheung, Liu, Remmen, 2018), (Hamada, Noumi, Shiu, 2019), (Charles, 2019)]:

$$Q/M \leq (Q/M)_{extr} \left(1 - \frac{\Delta}{M^2} \right) \quad \mathcal{L}_{HD} = c_1 R^2 + c_2 R_{\mu\nu} R^{\mu\nu} + c_3 R_{\mu\nu\rho\sigma} R^{\mu\nu\rho\sigma}$$

$$\Delta \propto (1 - \xi)^2 (c_2 + 4c_3) + 10 \xi (1 + \xi) c_3 \stackrel{\text{WGC}}{>} 0, \quad \xi \equiv \sqrt{1 - \frac{Q^2}{M^2}}$$

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In terms of dimensionless couplings, this condition yields

$$\boxed{g_C > 0} \quad (\text{satisfied by AS-EFT})$$

- **Swampland conjectures:**

→ **De Sitter conjecture** [(Obied, Ooguri, Spoyneiko, Vafa, 2018), (Ooguri, Palti, Shiu, Vafa, 2019)]

$$M_{Pl} \|\nabla V\| \geq cV \quad \text{for } \Delta\phi \leq fM_{Pl} \quad f, c \sim \mathcal{O}(1)$$

→ **Trans-Planckian conjecture** [(Bedroya, Vafa, 2020)]

Relevant for early-universe cosmology. Special value of c:

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$$V(\phi) = \frac{M_{Pl}^2}{8\pi} e^{-2\sqrt{\frac{2}{3}} \frac{\phi}{M_{Pl}}} \left(\frac{3m^2}{4} \left(e^{\sqrt{\frac{2}{3}} \frac{\phi}{M_{Pl}}} - 1 \right)^2 + \Lambda \right) \quad g_R = - \frac{M_{Pl}^2}{(8\pi) \cdot 12m^2}$$

⇒ **Non-trivial bounds for different f and c**

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**Can be violated in AS:
deSitter solutions can be
found in AS**

[Basile, AP. 2107.06897]

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⇒ Non-trivial bounds for different f and c .

Case Study 2

**Non-perturbative AS landscapes
of quadratic photon-graviton systems**

VS

**Positivity Bounds
& the Weak Gravity Conjecture**

- **AS model:** photon-graviton systems at quadratic order, only **essential couplings** included

[see Knorr's talk!]

$$\mathcal{L} = -\frac{R}{16\pi G_N} + \Theta_E E + \frac{1}{4} F^{\mu\nu} F_{\mu\nu} + G_2 (F^{\mu\nu} F_{\mu\nu})^2 + G_4 F^\mu{}_\nu F^\nu{}_\rho F^\rho{}_\sigma F^\sigma{}_\mu + G_{CFF} C^{\mu\nu\rho\sigma} F_{\mu\nu} F_{\rho\sigma}$$

- **Three dimensionless Wilson coefficients (redefined for convenience; only one log-presc. ambiguity)**

$$w_+ = \frac{1}{2} \frac{G_2 + G_4}{(16\pi G_N)^2}, \quad w_- = \frac{1}{2} \frac{G_2 - G_4}{(16\pi G_N)^2} + b \ln[16\pi G_N k^2], \quad w_C = \frac{G_{CFF}}{16\pi G_N}$$

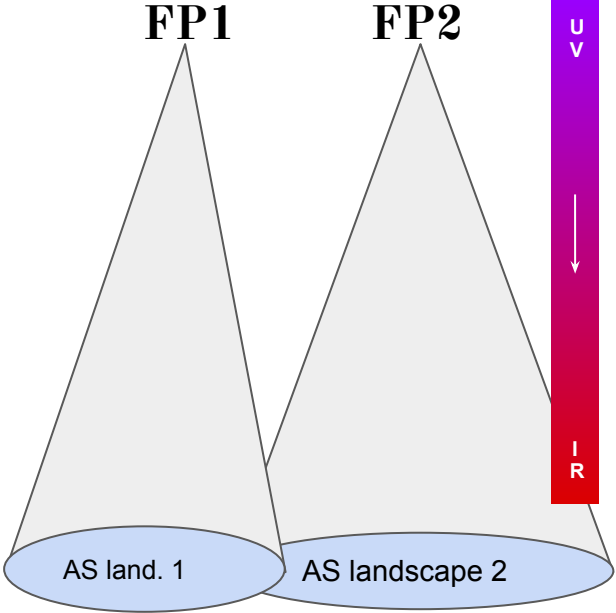
- **Two UV fixed points:**

FP1: one relevant direction (most predictive!)

⇒ once the QG scale is fixed, this is a zero-parameter theory = 1 point in the space of dimensionless Wilson coefficients

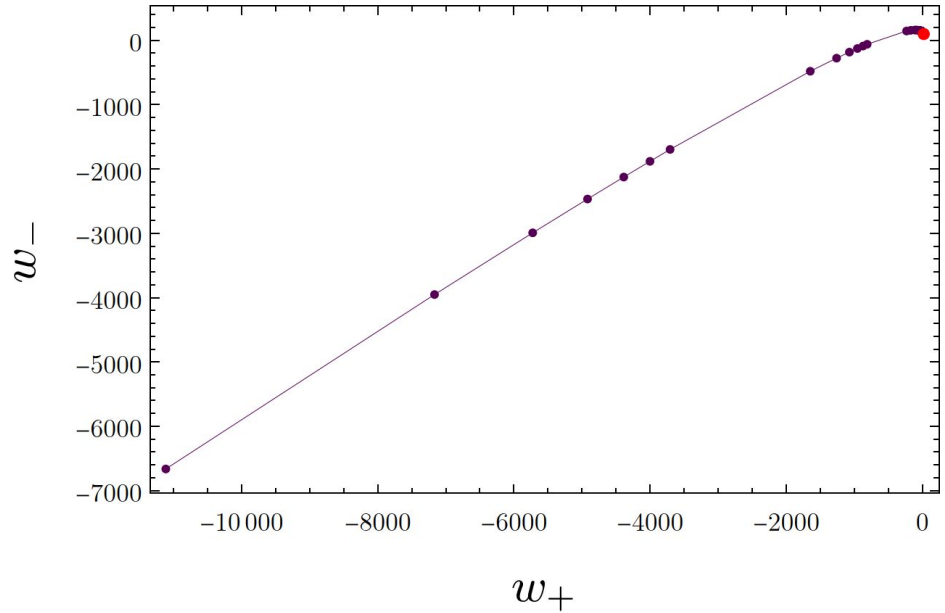
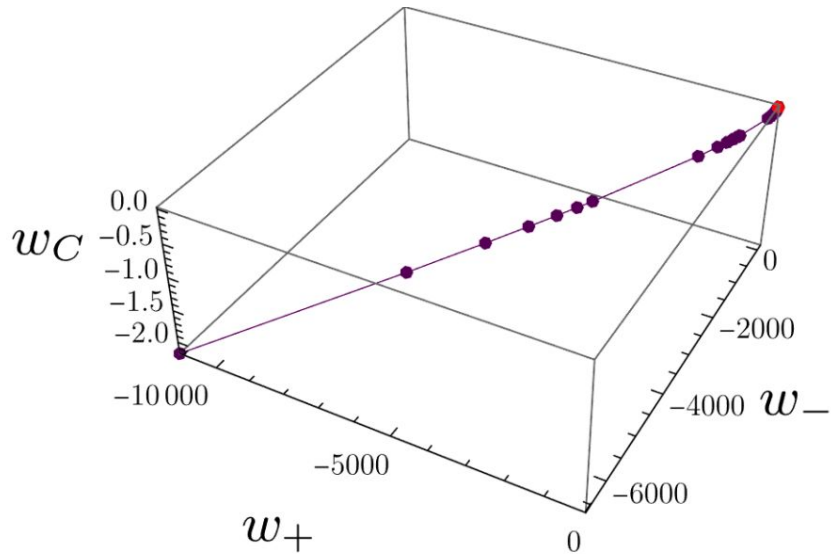
FP2: two relevant directions

⇒ effective action parametrized by 1 dimensionless parameter (line of EFTs)



Asymptotic Safety Landscapes

[Knorr, AP, 2405.08860]

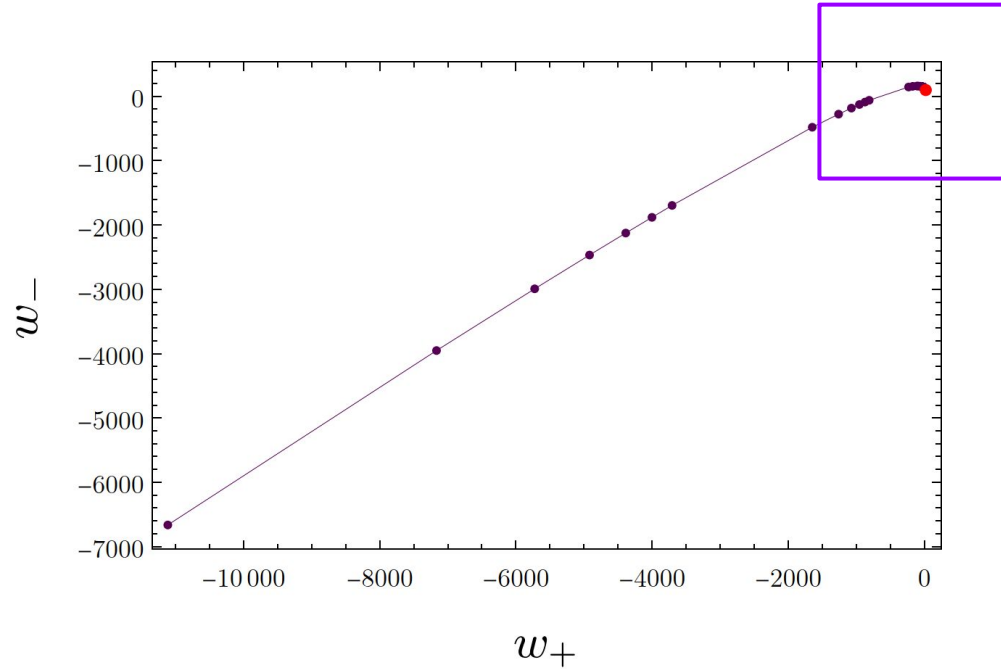


AS landscape from FP1: 1 single point

AS landscape from FP2: almost straight line

Asymptotic Safety Landscapes

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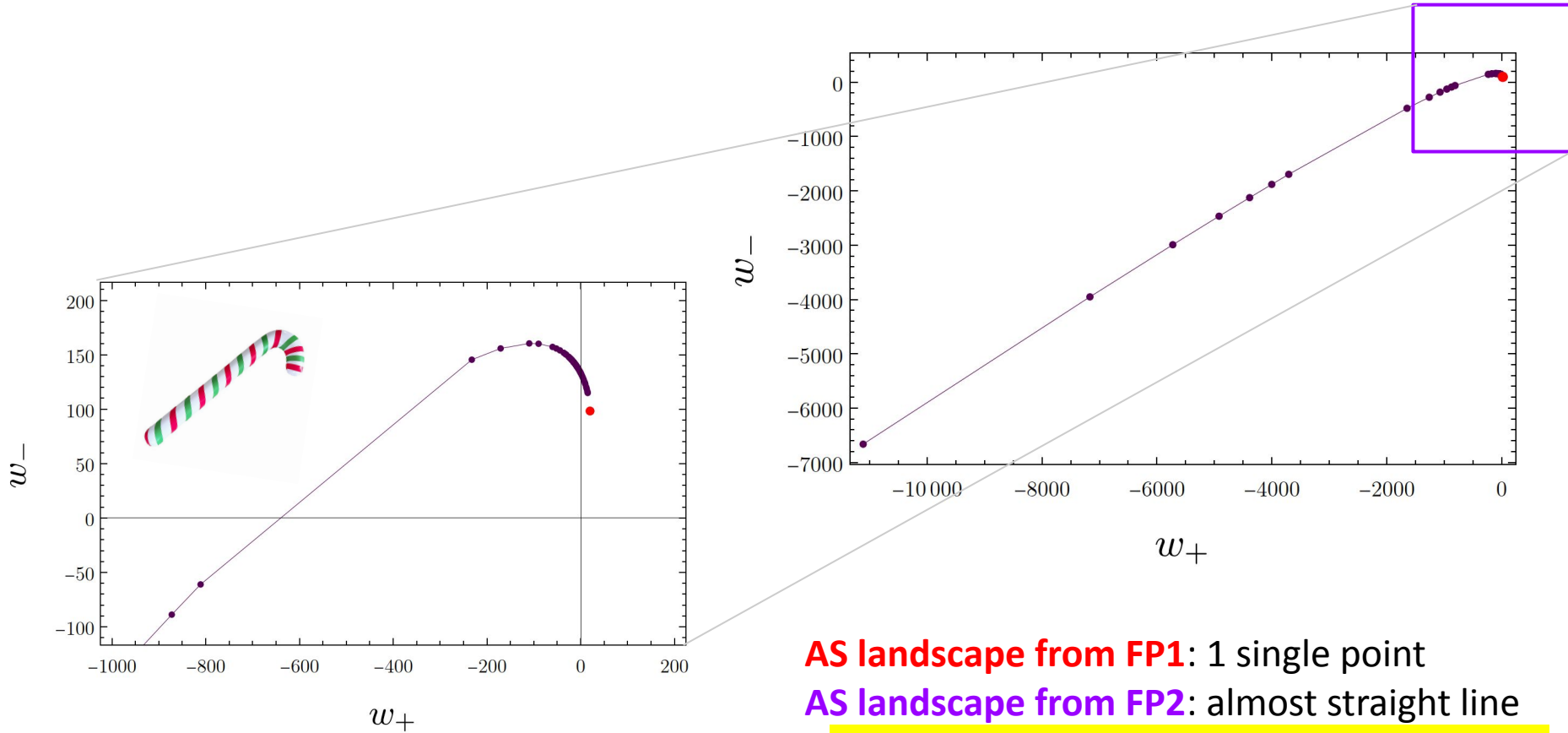
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+ small “candy cane” regime which connects the two

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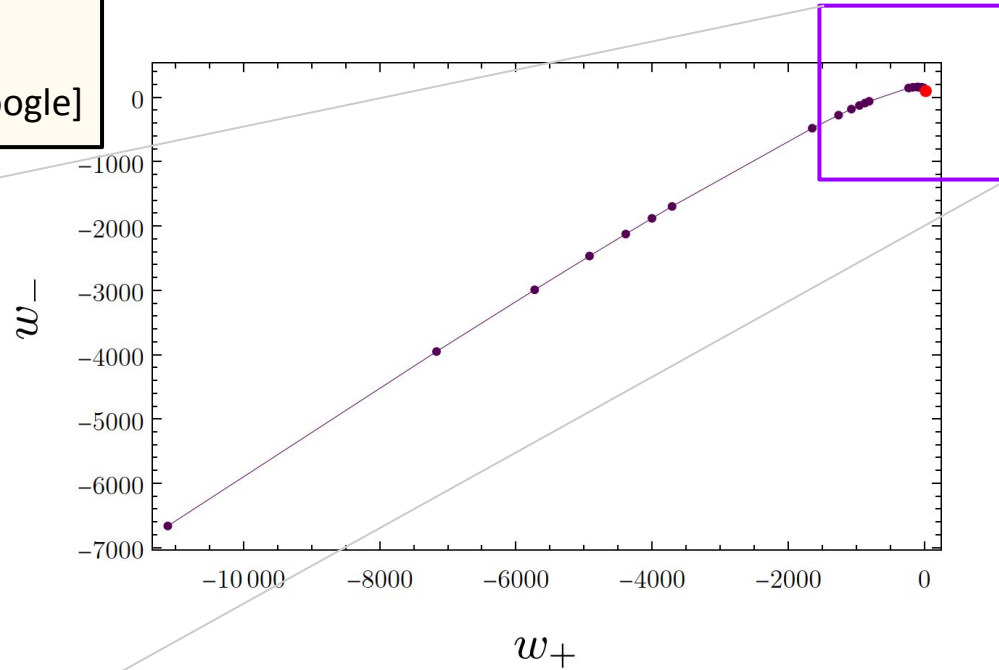
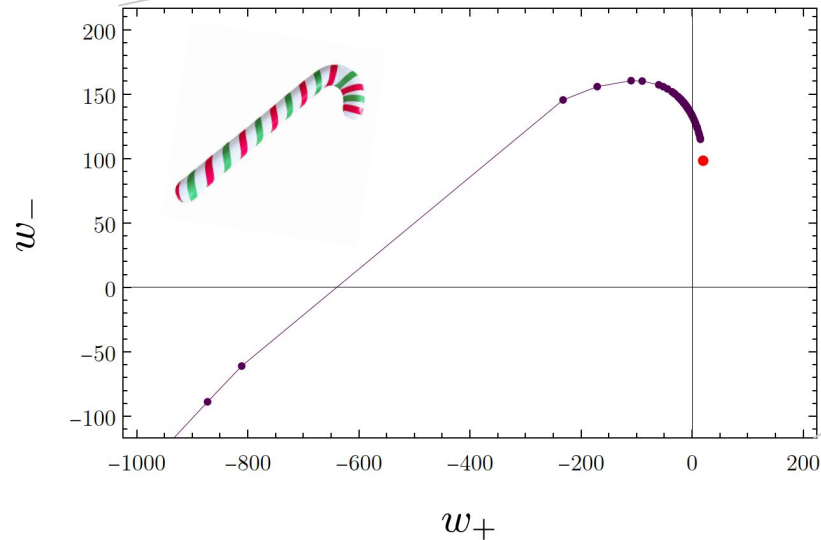
Asymptotic Safety Landscapes

[Knorr, AP, 2405.08860]

Some important nomenclature...

"The curved part of the candy cane is called the **warble**, and the straight part is called the **strabe**."

[Google]



- AS landscape from FP1: 1 single point
- AS landscape from FP2: almost straight line + small "candy cane" regime which connects the two

(Some) positivity bounds and the Weak Gravity Conjecture

- **Positivity bounds:**

$$w_+ > w_- , \quad 3w_+ - w_- - 2|w_C| > 0$$

[Carrillo González, de Rham, Jaitly, Pozsgay, Tokareva, '23]

- **Electric WGC in the presence of higher derivatives**

$$3w_+ - w_- + 2w_C > 0$$

[Cheung, Liu, Remmen, '18]

Caveats

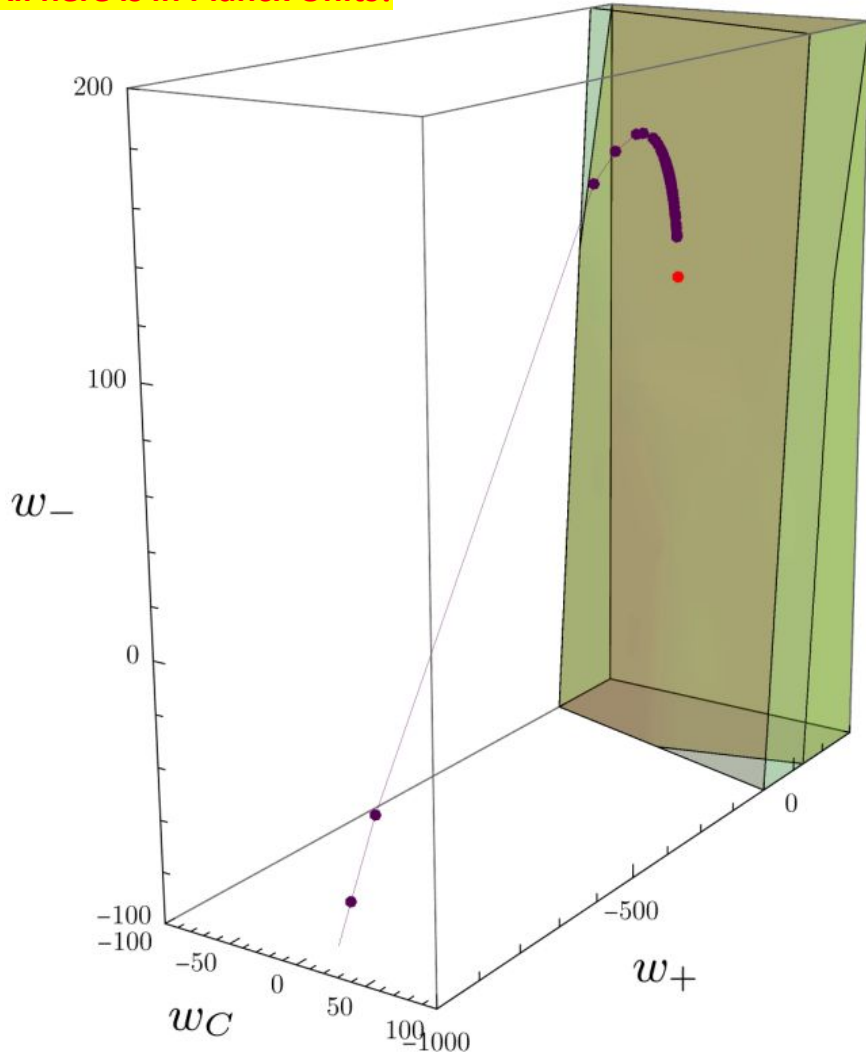
- **CAVEAT:** ambiguity in defining the logs in the presence of massless poles, positivity bounds are typically identified in theories with massive DOF that are integrated out
- **EXPECTATION:** Standard positivity bounds may be violated in the presence of gravity

$$c > 0 \quad \rightarrow \quad c > -\mathcal{O}(1) M^{-2} M_{Pl}^{-2}$$

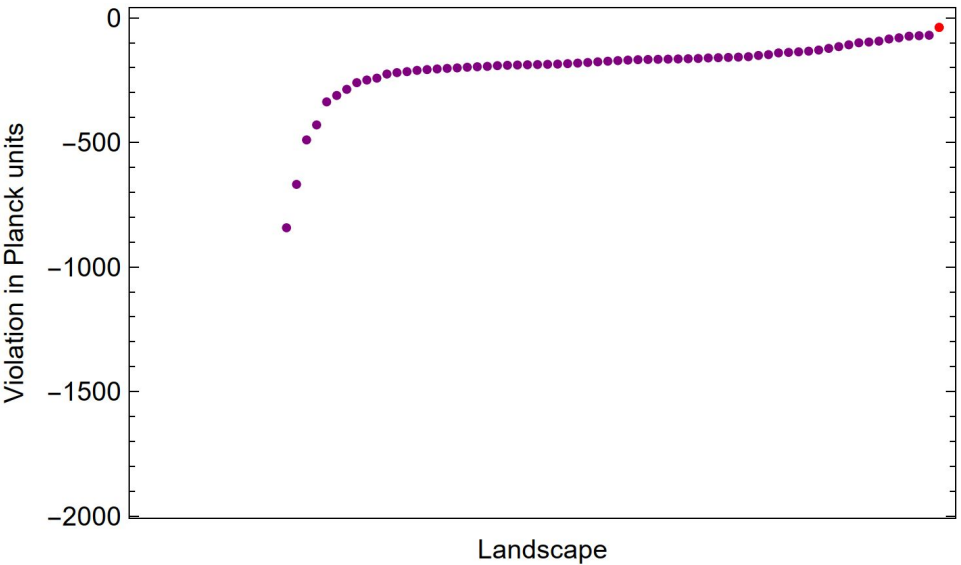
[See talk by Shuang-Yong Zhou]

[Alberte, de Rham, Jaitly, Tolley, '20+'21)]

All here is in Planck Units!



[Knorr, AP, 2405.08860]



Planck-scale suppressed violations of WGC and positivity bounds:

- In the “*strabe*” part of the landscape the violation gets larger, in the “*warble*” it is minimized.
- The landscape from the most predictive FP minimizes the violation.

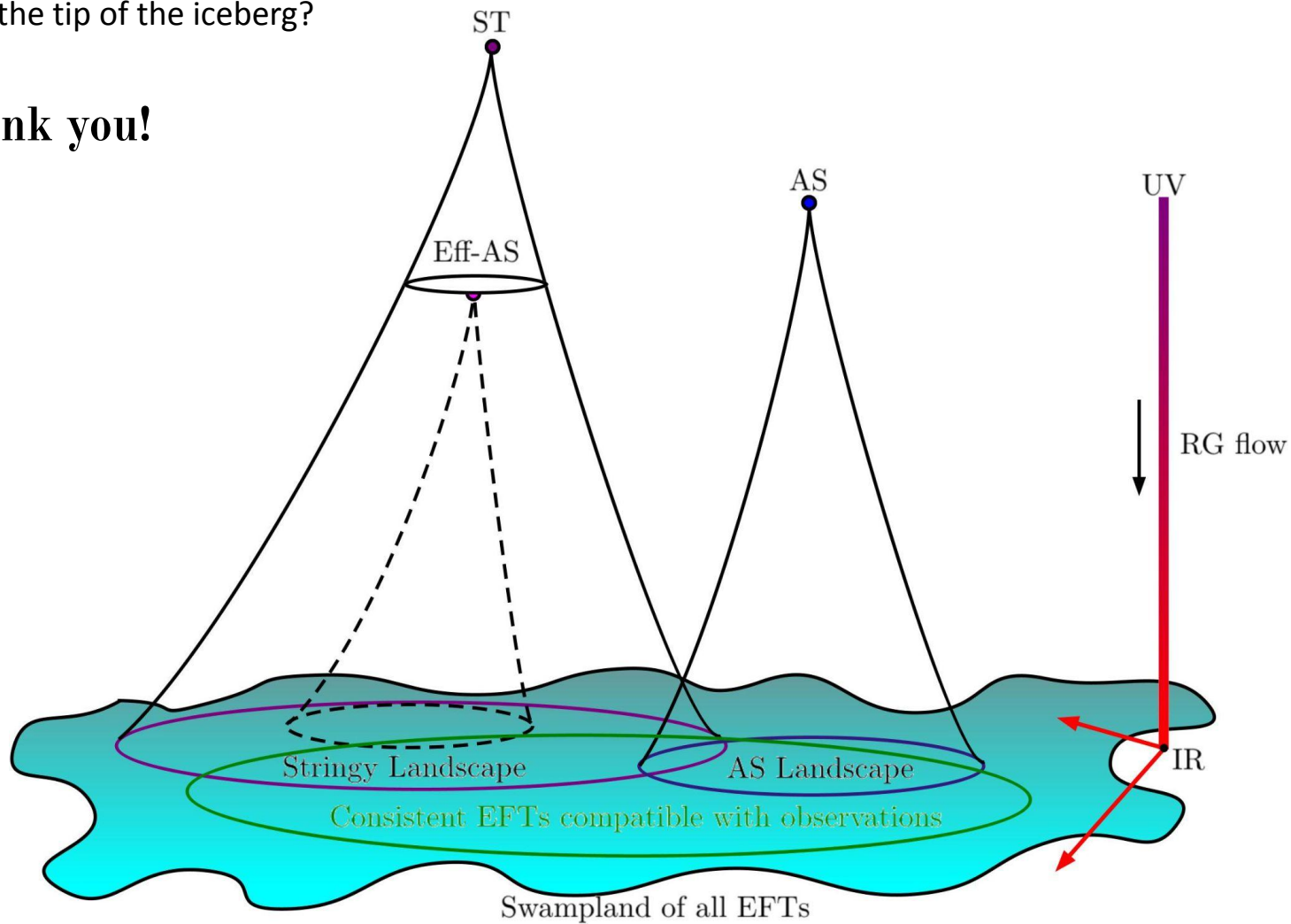
Compatible with expectations/conjectures from EFT in the presence of massless poles:
Alberte, de Rham, Jaitly, Tolley, PRD 102, 125023 (2020)

Summary

- *Computing QG landscapes: “killing N birds with one stone”*
Testing swampland conjectures in other approaches to quantum gravity, e.g., asymptotic safety
Testing consistency of QG predictions (from different approaches): positivity positivity bounds
ST vs AS landscape (vs others?): comparing predictions
String Lamppost Principle: do swampland conjectures identify the string landscape or are more general?
- **Very clear recipe in asymptotic safety:**
 - Start from UV fixed point, integrate the FRG flow down to the IR, identify AS landscape
 - Find intersections: swampland constraints, positivity bounds, observations, other QG landscapes
- **Case study 1: AS landscapes in one-loop quadratic gravity**
Caveats: toy model, not full FRG computation, not all swampland criteria, electromagnetic duality assumed
 - Non-trivial intersection
 - WGC is satisfied, de Sitter and trans-Planckian can be violated
- **Case study 2: AS landscapes in non-perturbative photon-graviton systems**
Caveats: toy model, definitions of Wilson coefficients with logs is ambiguous
 - Planck-scale-suppressed violations of positivity bounds
 - Violation is minimized by the most predictive fixed point / the smaller sub-landscape (one point)
- **Common feature of models 1 and 2: Near-flatness of the AS landscape?**
Coincidence or universal pattern? Implications? Fundamental explanation?

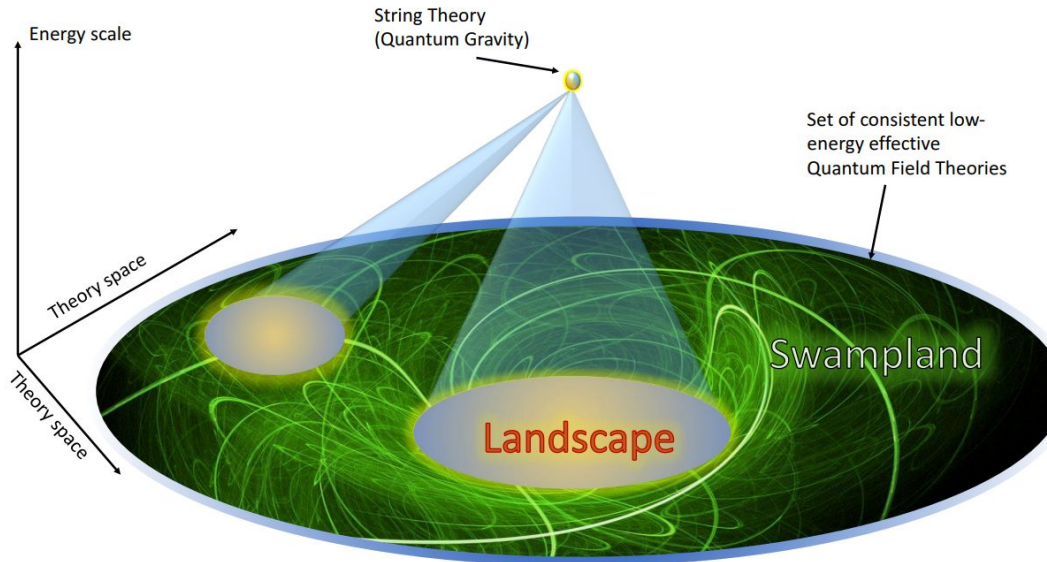
...merely the tip of the iceberg?

Thank you!



One Attempt within String Theory: The Swampland Program

- **What: Swampland Program:** aims at identifying the “string landscape” of EFTs coming from its UV completion
- **How: via Swampland “Criteria”,** tied to string (mostly susy) constructions:
 - Partially inspired by ST (but also from general considerations, e.g., BH physics and cosmology);
 - Tested within string models, no counterexamples

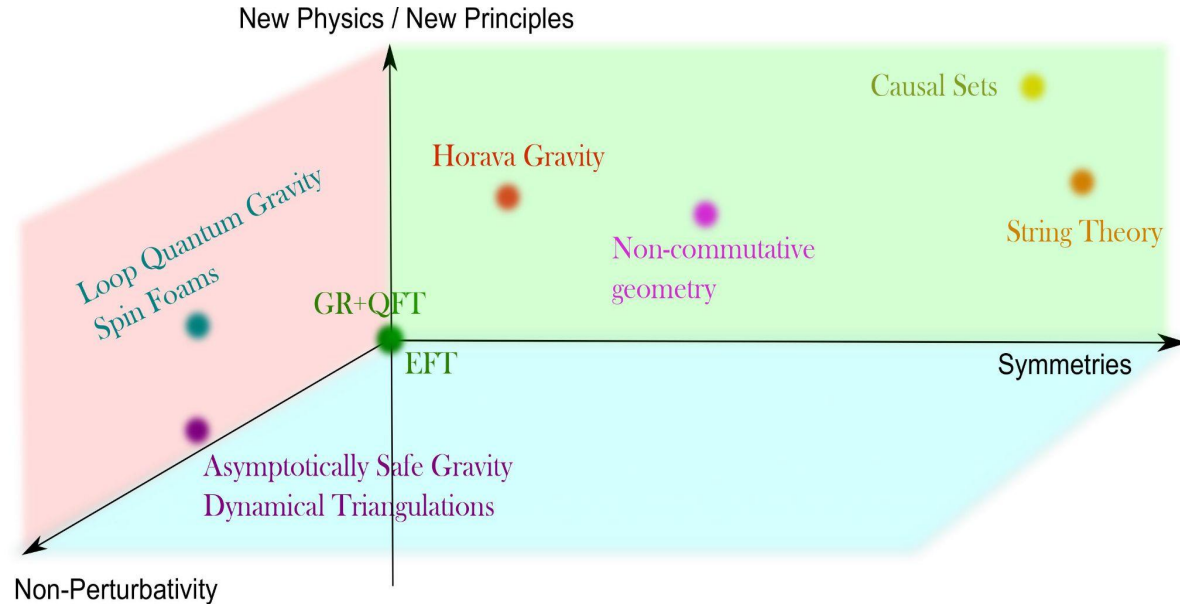


E. Palti (2019)

The realm of Quantum Gravity

Several theories:

- String Theory
- Asymptotically Safe Gravity
- Dynamical Triangulation
- Non-local gravity
- Loop quantum gravity
- Group field theory
- Causal sets
- Horava gravity
- ...

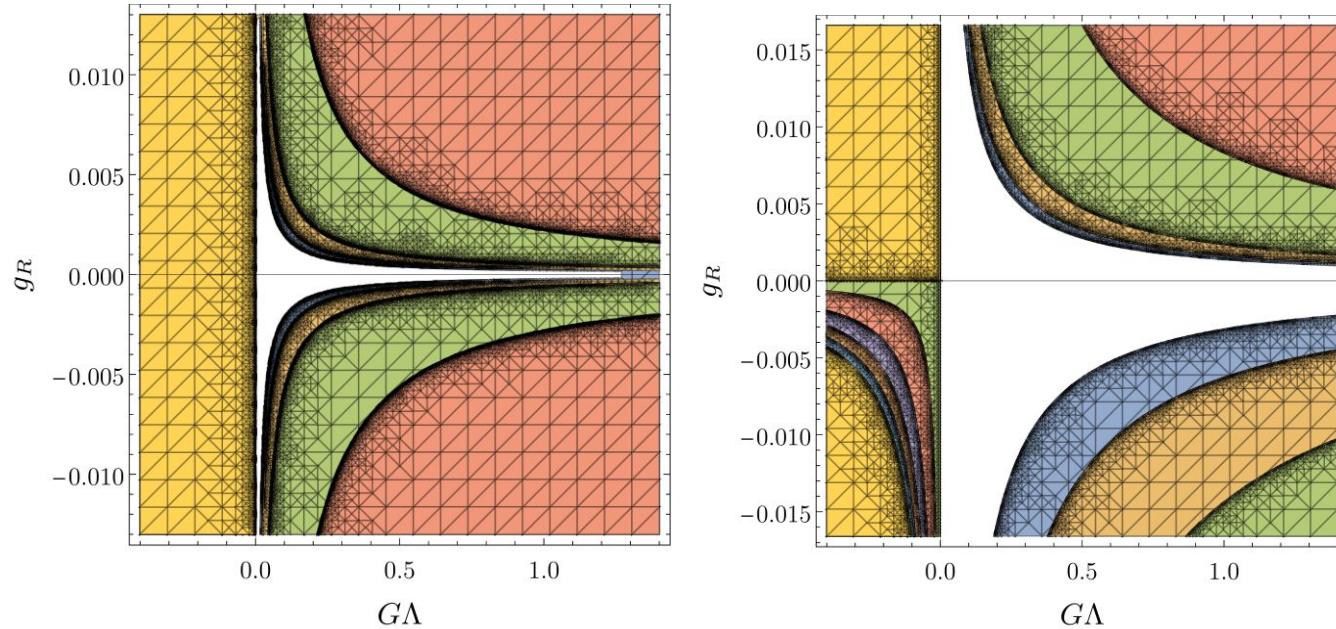


Goals:

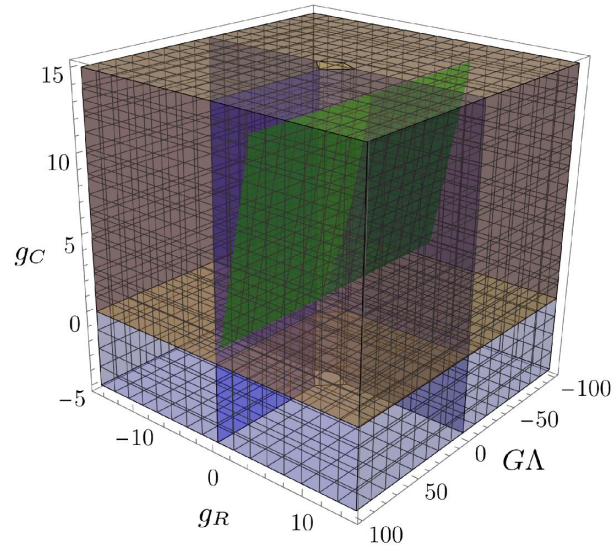
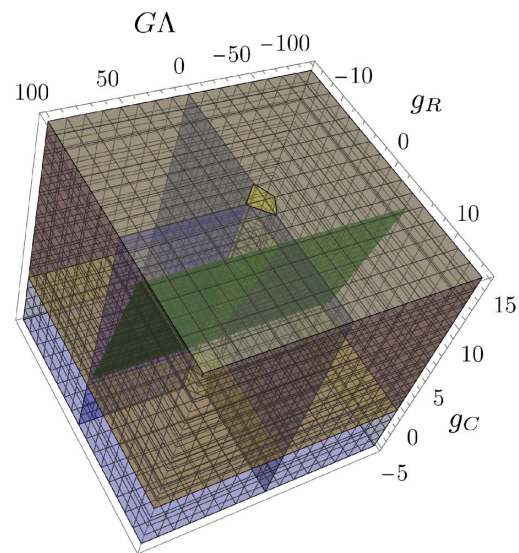
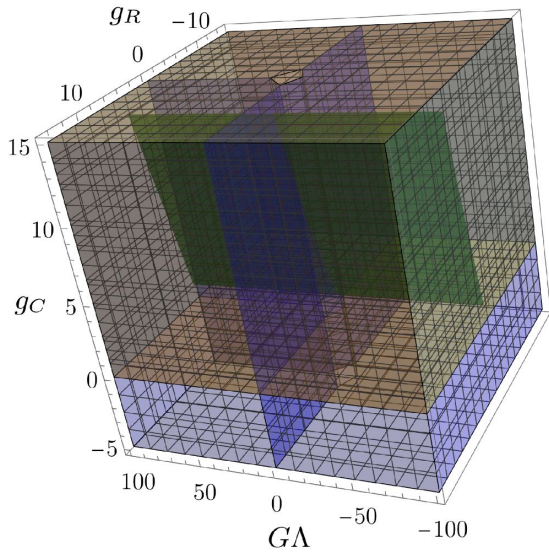
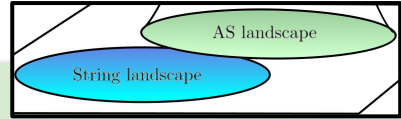
- Consistency: Renormalizability, unitarity, compatibility with large scale physics & observations
- Predictions: quantum cosmology, quantum black holes, scattering amplitudes, grav. Waves
- Comparison between approaches?

- Swampland conjectures:

→ De Sitter and trans-Planckian conjectures



$$0 \leq c \leq 3.5, \quad f = 0.1 \text{ (left),} \quad f = 1 \text{ (right)}$$



Green plane:

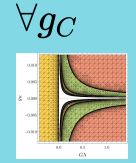
AS landscape [one-loop quadratic approx]

$$EFT_{AS} \approx \{g_R = -0.74655 - \frac{2}{3}\omega_- g_C\} \quad g_C > 0$$

Blue hyperplane:

Stringy “no de Sitter” conjecture

[~ no positive cosmological constant]



Yellow hyperplane:

Weak gravity conjecture

[~ gravity is the weakest force] $g_C > 0$

Within this simple model of AS, and only some swampland conjectures

⇒ non-trivial intersection (partial compatibility?)

[Basile, AP. 2107.06897]

- **Swampland conjectures:**

→ **De Sitter conjecture** [(Obied, Ooguri, Spoyneiko, Vafa, 2018), (Ooguri, Palti, Shiu, Vafa, 2019)]

$$M_{Pl} \|\nabla V\| \geq cV \quad \text{for } \Delta\phi \leq fM_{Pl} \quad f, c \sim \mathcal{O}(1)$$

→ **Trans-Planckian conjecture** [(Bedroya, Vafa, 2020)]

Relevant for early-universe cosmology. Special value of c:

$$c = \frac{2}{\sqrt{(d-1)(d-2)}}$$

In the case of higher-derivative gravity V is the potential of the additional scalar mode in the $F(R)$ part of the action. In our case this is a **Starobinsky-like potential**:

$$V(\phi) = \frac{M_{Pl}^2}{8\pi} e^{-2\sqrt{\frac{2}{3}} \frac{\phi}{M_{Pl}}} \left(\frac{3m^2}{4} \left(e^{\sqrt{\frac{2}{3}} \frac{\phi}{M_{Pl}}} - 1 \right)^2 + \Lambda \right) \quad g_R = - \frac{M_{Pl}^2}{(8\pi) \cdot 12m^2}$$

⇒ Non-trivial bounds for different f and c .

- **AS model:** photon-graviton systems at quadratic order, only **essential couplings** included

[see Knorr's talk!]

$$\mathcal{L} = -\frac{R}{16\pi G_N} + \Theta_E E + \frac{1}{4} F^{\mu\nu} F_{\mu\nu} + G_2 (F^{\mu\nu} F_{\mu\nu})^2 + G_4 F^\mu{}_\nu F^\nu{}_\rho F^\rho{}_\sigma F^\sigma{}_\mu + G_{CFF} C^{\mu\nu\rho\sigma} F_{\mu\nu} F_{\rho\sigma}$$

- Three dimensionless Wilson coefficients (redefined for convenience; only one log-presc. ambiguity)

$$w_+ = \frac{1}{2} \frac{G_2 + G_4}{(16\pi G_N)^2}, \quad w_- = \frac{1}{2} \frac{G_2 - G_4}{(16\pi G_N)^2} + b \ln[16\pi G_N k^2], \quad w_C = \frac{G_{CFF}}{16\pi G_N}$$

- **Two UV fixed points:**

FP1: one relevant direction (most predictive!)

$$g^* = 0.131, \quad g_+^* = 0.351, \quad g_-^* = 3.327, \quad g_{CFF}^* = 0.00375$$

$$\theta_1 = 1.845, \quad \theta_{2,3} = -0.239 \pm 0.0155i, \quad \theta_2 = -0.291$$

FP2: two relevant directions

$$g^* = 0.126, \quad g_+^* = -0.308, \quad g_-^* = 4.001, \quad g_{CFF}^* = -0.00410$$

$$\theta_1 = 1.936, \quad \theta_2 = 0.184, \quad \theta_3 = -0.141, \quad \theta_4 = -0.236$$

