

Modifying gravity without extra degrees of freedom

Xian Gao

Sun Yat-sen University

Quantum Gravity and Cosmology 2024 ShanghaiTech University, Shanghai July 2, 2024

[Based on: 1910.13995, 2011.00805, 2104.07615, 2302.02090]

Why modifying gravity?

Phenomenological side:

- (2011 Nobel prize) To explain the early and late accelerated expansion of our universe.
- (2017 Nobel prize) The gravitational waves have been detected, which are new tools to test gravity theories.

Theoretical side:

To check the conditions that GR is based on, so that we can understand if and why GR is the unique theory of gravity.

Modified gravity: playing with DoF's

The key question:

Keeping the correct degrees of freedom (DoF's).

To introduce the wanted DoF's.

To eliminate the unwanted (ghost-like) DoF's.

Two faces of modified gravity

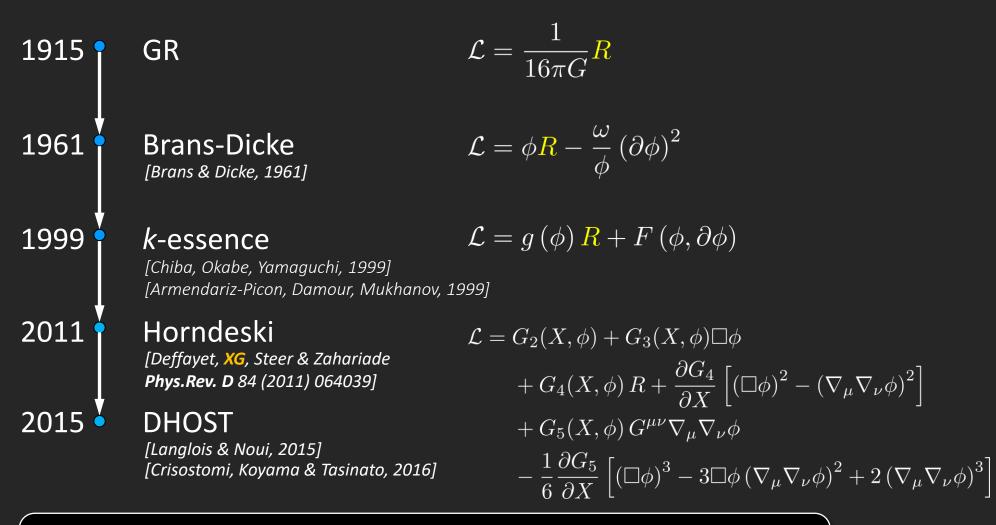
(2011 Nobel prize) Dark energy / Inflation

Extra mode(s) without ghost(s).

"Gravity" is more than GR.

(Horndeski, DHOST, Horava, EFT of inflation, dRGT, SCG, U-DHOST ...)

Example: extra scalar mode without ghost



- Higher derivatives in Lagrangians/EoMs,
- Propagating 1 scalar + 2 tensor DoFs.

Two faces of modified gravity

(2011 Nobel prize) Dark energy / Inflation

Extra mode(s) without ghost(s).

"Gravity" is more than GR.

(Horndeski, DHOST, Horava, EFT of inflation, dRGT, SCG, U-DHOST ...)

(2017 Nobel prize) Gravitational waves (GWs)

Non-GR theory for the two tensorial degrees of freedom (TTDOFs).



"Gravity" is just the TTDOFs, but behave differently from that of GR.

(Cuscuton, MMG, 4dEGB, TTDOF, ...)

Einstein equation is quite unique.

$$\alpha G_{\mu\nu} + \lambda g_{\mu\nu} = 0$$

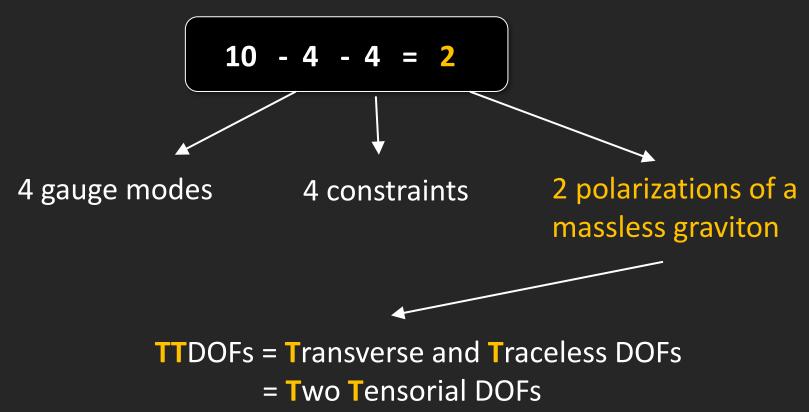
[Lovelock, 1971]

Any metric theory of gravity alternative to GR must satisfy (at least):

- extra degrees of freedom,
- extra dimensions (e.g., brane world),
- higher derivative terms (e.g., f(R)),
- non-Riemannian geometry (e.g., f(T)),
- giving up locality.

GR is the unique theory (kinetic term) for the TTDOFs, if we require Lorentz invariance and locality.

Degrees of freedom in GR:



$$h_{\mu\nu} = g_{\mu\nu} - \eta_{\mu\nu}$$

 $\mathcal{L}_2 = c_1 \,\partial_\lambda h^{\mu\nu} \partial^\lambda h_{\mu\nu} + c_2 \,\partial_\mu h^{\mu\nu} \partial_\nu h + c_3 \,\partial_\nu h^{\mu\nu} \partial^\lambda h_{\mu\lambda} + c_4 \,\partial_\mu h \partial^\mu h$

$$\delta_{\xi} h_{\mu\nu} = \partial_{\mu} \xi_{\nu} + \partial_{\nu} \xi_{\mu}$$

$$c_{1} = -\frac{1}{4}, \qquad c_{2} = -\frac{1}{2}, \qquad c_{3} = \frac{1}{2}, \qquad c_{4} = \frac{1}{4}$$

GR

1962-1963

"resummation"

$$\mathcal{L} = \sum_{n} \mathcal{L}_{n} = \sqrt{-\det\left(\eta_{\mu\nu} + h_{\mu\nu}\right)} R\left[\eta_{\mu\nu} + h_{\mu\nu}\right] \equiv \sqrt{g} R[g]$$

How about massive gravitons (5 DOFs)?

For the mass (potential) terms:

$$\mathcal{L}_{2}^{(\mathbf{p})} = -\frac{1}{2}m^{2}\left(h_{\mu\nu}h^{\mu\nu} - h^{2}
ight) \equiv \mathcal{L}_{\mathrm{FP}}$$
 (Fierz-Pauli mass term)
 $\sum_{n} \mathcal{L}_{n}^{(\mathbf{p})} \simeq \sqrt{-g}\mathcal{L}_{\mathrm{dRGT}}$ [C. de Rham, G. Gabadadze, A. Tolley, 1011.1232]

For the kinetic term:

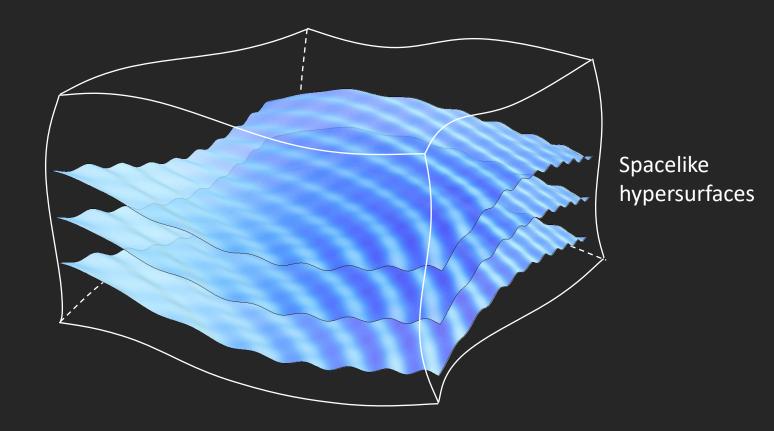
Very likely GR is also the unique kinetic term for both massless and massive gravitons, with Lorentz invariance and locality.

[C. de Rham, A. Matas, A. Tolley, 1311.6485] [XG, 1403.6781]

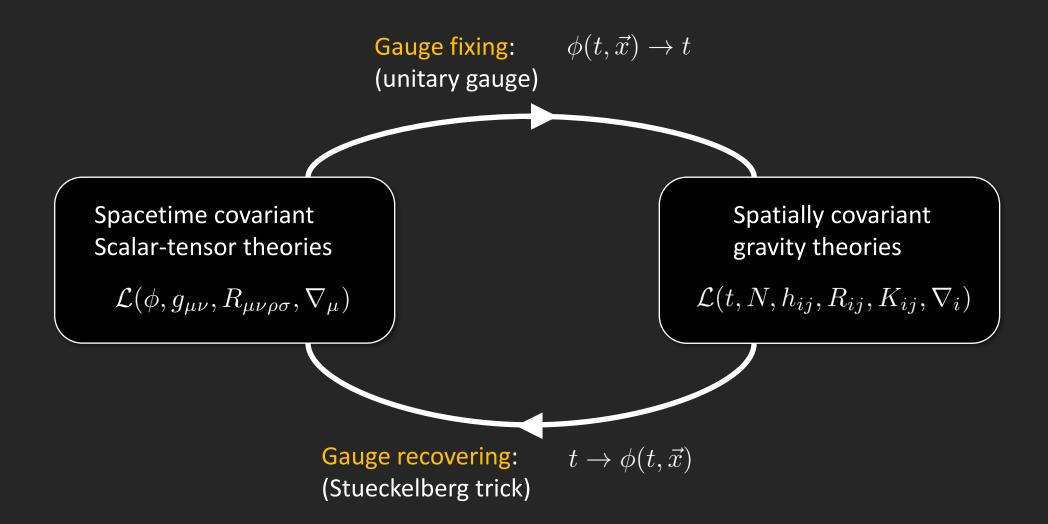
Scalar-tensor theory v.s. Spatially covariant gravity

How about to abandon Lorentz invariance?

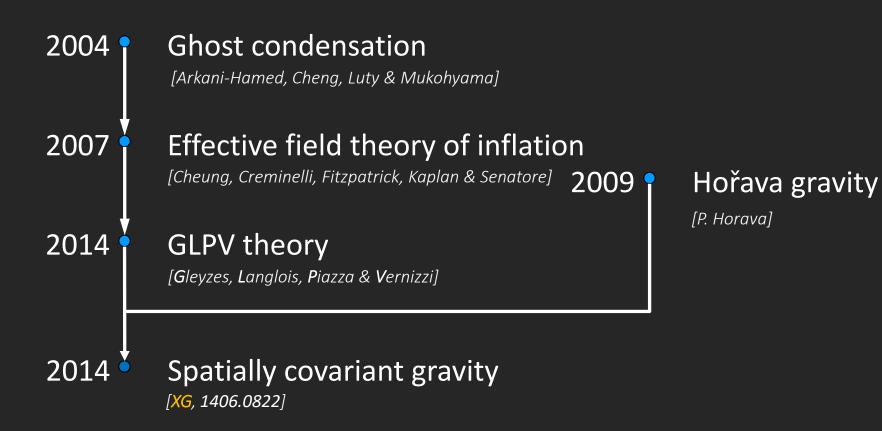
With only spatial covariance?



Scalar-tensor theory v.s. Spatially covariant gravity



[H. Motohashi, T. Suyama, K. Takahashi, 2016] [A. De Felice, D. Langlois, S. Mukohyama, K. Noui & A. Wang, 2018]



[XG, et al: 1406.0822 , 1409.6708, 1806.02811, 1902.07702, 1910.13995, 2004.07752, 2006.15633, 2111.08652 ...]

Spatially covariant gravity

$$S = \int dt d^3x N \sqrt{h} \mathcal{L}(t, N, h_{ij}, R_{ij}, K_{ij}, \nabla_i)$$

2 tensor + 1 scalar DoFs with higher derivative EoMs,

[XG, et al: 1406.0822 , 1409.6708, 1806.02811, 1902.07702, 1910.13995, 2004.07752, 2006.15633, 2111.08652 ...]

Spatially covariant gravity

$$S = \int \mathrm{d}t \mathrm{d}^3 x N \sqrt{h} \mathcal{L}(t N, h_{ij}, R_{ij}, K_{ij}, \nabla_i)$$

2 tensor + 1 scalar DoFs with higher derivative EoMs, as long as the Lagrangian is nonlinear in the lapse function N.

Question:

Does it exist a theory of spatially covariant gravity, without the extra scalar mode?

Cuscuton as a special case of SCG

2007 • Cuscuton

[N. Afshordi, D. J.H. Chung, G. Geshnizjani, hep-th/0609150]

$$S = \int d^4x \sqrt{-g} \left(\frac{1}{2} R + \mu^2 \sqrt{\left| -g^{\mu\nu} \partial_{\mu} \phi \partial_{\nu} \phi \right|} - V\left(\phi\right) \right)$$

Only 2 DOFs (no scalar) when the scalar field is timelike (e.g., in a cosmological background). [H. Gomes, D. Guariento, 1703.08226]

The scalar mode becomes an instantaneous mode (with an infinite speed of sound) and effectively non-dynamical. [A. De Felice, D. Langlois, S. Mukohyama, K. Noui, A. Wang, 1803.06241]

$$L = \frac{1}{2} \left(\dot{\psi}^2 - c_s^2 \left(\partial_i \psi \right)^2 \right) \qquad \qquad \ddot{\psi} + c_s^2 \partial^2 \psi^2 = 0 \qquad \xrightarrow{c_s \to \infty} \qquad \partial^2 \psi^2 = 0$$

Cuscuton as a special case of SCG

2007 • Cuscuton

[N. Afshordi, D. J.H. Chung, G. Geshnizjani, hep-th/0609150]

$$S = \int d^4x \sqrt{-g} \left(\frac{1}{2}R + \mu^2 \sqrt{\left| -g^{\mu\nu} \partial_{\mu} \phi \partial_{\nu} \phi \right|} - V(\phi) \right)$$
$$\phi = t \quad \text{(unitary gauge)}$$

$$S^{\text{u.g.}} = \int dt d^3x \sqrt{h} \left[\frac{1}{2} N \left(K_{ij} K^{ij} - K + {}^{(3)}R \right) + \mu^2 - N V(t) \right]$$

A special case of spatially covariant gravity (linear in lapse function N).

2007 Cuscuton [N. Afshordi, D. J.H. Chung, G. Geshnizjani, hep-th/0609150]

2017 Minimally modified gravity [C. Lin, S. Mukohyama, 1708.03757]

$$S = \int dt d^3x \sqrt{h} N F(K_{ij}, R_{ij}, h_{ij}, \nabla_i; t)$$

(with additional conditions on F)

2007	Cuscuton [N. Afshordi, D. J.H. Chung, G. Geshnizjani, hep-th/0609150]
2017	Minimally modified gravity [C. Lin, S. Mukohyama, 1708.03757]
2018	Extended Cuscuton [A. Iyonaga, K. Takahashi, T. Kobayashi, 1809.10935]

2007 •	Cuscuton [N. Afshordi, D. J.H. Chung, G. Geshnizjani, hep-th/0609150]
2017	Minimally modified gravity [C. Lin, S. Mukohyama, 1708.03757]
2018	Extended Cuscuton [A. Iyonaga, K. Takahashi, T. Kobayashi, 1809.10935]
2019 🏅	Spatially covariant gravity with TTDOFs [XG, ZB. Yao, 1910.13995] Perturbative approach [Yu-Min Hu, XG, 2104.07615] With the dynamical lapse [J. Lin, Y. Gong, Y. Lu, F. Zhang, 2011.05739]

TTDOF conditions in the Lagrange approach

For a general SCG action, we got 2 TTDOF conditions.

[XG, Z.-B. Yao, 1910.13995]

$$\tilde{S} = \int \mathrm{d}t \mathrm{d}^3 x \, N \sqrt{h} \mathcal{L}\left(N, h_{ij}, K_{ij}, R_{ij}, \nabla_i; t\right)$$

(1) degeneracy condition:

The $\{N, K_{ij}\}$ -sector must be degenerate.

$$0 \approx \mathcal{S}(\vec{x}, \vec{y}) \equiv \frac{\delta^2 S_B}{\delta N(\vec{x}) \,\delta N(\vec{y})} - \int d^3 x' \int d^3 y' N(\vec{x}') \frac{\delta}{\delta N(\vec{x})} \left(\frac{1}{N(\vec{x}')} \frac{\delta S_B}{\delta B_{i'j'}(\vec{x}')} \right) \times \mathcal{G}_{i'j',k'l'}(\vec{x}', \vec{y}') N(\vec{y}') \frac{\delta}{\delta N(\vec{y})} \left(\frac{1}{N(\vec{y}')} \frac{\delta S_B}{\delta B_{k'l'}(\vec{y}')} \right)$$

(2) consistency condition:

To completely eliminate the single (extra scalar) DOF.

$$0 \approx \mathcal{J}\left(\vec{x}, \vec{y}\right) \equiv \int \mathrm{d}^{3}x' \int \mathrm{d}^{3}y' \int \mathrm{d}^{3}x'' \int \mathrm{d}^{3}y'' \frac{\delta C\left(\vec{x}\right)}{\delta B_{ij}\left(\vec{x}'\right)} \mathcal{G}_{ij,i'j'}\left(\vec{x}', \vec{x}''\right) \times N\left(\vec{x}''\right) \frac{\delta^{2}S_{B}}{\delta h_{i'j'}\left(\vec{x}''\right)\delta B_{k'l'}\left(\vec{y}''\right)} \mathcal{G}_{k'l',kl}\left(\vec{y}'', \vec{y}'\right) \frac{\delta C\left(\vec{y}\right)}{\delta B_{kl}\left(\vec{y}'\right)} - \int \mathrm{d}^{3}x' \int \mathrm{d}^{3}y' \frac{\delta C\left(\vec{x}\right)}{\delta B_{ij}\left(\vec{x}'\right)} \mathcal{G}_{ij,kl}\left(\vec{x}', \vec{y}'\right) N\left(\vec{y}'\right) \frac{\delta C\left(\vec{y}\right)}{\delta h_{kl}\left(\vec{y}'\right)} - \left(\vec{x} \leftrightarrow \vec{y}\right)$$

A concrete example

[XG, Z.-B. Yao, 1910.13995]

A model quadratic in K_{ij} :

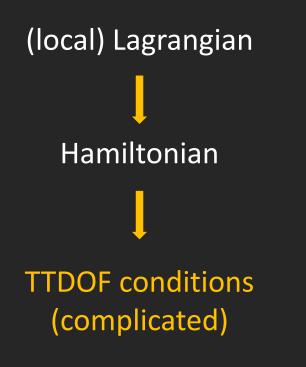
$$S^{(\text{quad})} = \int dt d^3x \, N \sqrt{h} \left[\frac{N}{\beta_2 + N} K^{ij} K_{ij} - \frac{1}{3} \left(\frac{2N}{\beta_1 + N} + \frac{N}{\beta_2 + N} \right) K^2 + \rho_1 + \rho_2 R + \frac{1}{N} \left(\rho_3 + \rho_4 R \right) \right],$$

GR: $\beta_1 = \beta_2 = \rho_3 = \rho_4 = 0, \quad \rho_1 = \text{const.} \quad \rho_2 = 1$

Cuscuton: $\beta_1 = \beta_2 = \rho_4 = 0, \quad \rho_2 = 1$

2007	Cuscuton [N. Afshordi, D. J.H. Chung, G. Geshnizjani, hep-th/0609150]
2017	Minimally modified gravity [C. Lin, S. Mukohyama, 1708.03757]
2018	Extended Cuscuton [A. Iyonaga, K. Takahashi, T. Kobayashi, 1809.10935]
2019	Spatially covariant gravity with TTDOFs [<i>XG</i> , <i>ZB. Yao</i> , 1910.13995] Perturbative approach [<i>Yu-Min Hu</i> , <i>XG</i> , 2104.07615] With the dynamical lapse [J. Lin, Y. Gong, Y. Lu, F. Zhang, 2011.05739]
2020	TTDOFs with an auxiliary constraint [ZB. Yao, M. Oliosi, XG , S. Mukohyama, 2011.00805]
2023	TTDOFs with multiple auxiliary constraints [ZB. Yao, M. Oliosi, XG , S. Mukohyama, 2302.02090]

Working directly with the Hamiltonian



(local) Hamiltonian
(Iocal) Hamiltonian
(Iocal) Hamiltonian
(Iocal) Iocal Ioca

A single auxiliary constraint

[Z.-B. Yao, M. Oliosi, XG, S. Mukohyama, 2011.00805]

A 20-dim phase space:

$$\Phi_{I} = \{N, N^{i}, h_{ij}\} \qquad \Pi^{I} = \{\pi, \pi_{i}, \pi^{ij}\}$$

$$H_{T} = \int d^{3}x \sqrt{h} \left[\mathcal{H}\left(N, h_{ij}, \pi^{ij}; \nabla_{i}\right) + \nu \varphi\left(N, h_{ij}, \pi^{ij}; \nabla_{i}\right) + \lambda \pi + N^{i} \mathcal{H}_{i} + \lambda^{i} \pi_{i} \right]$$
free function
auxiliary constraint
N is non-dyn.
spatial diff.
8 constraints:

$$\pi \approx 0, \qquad \mathcal{H}_{i} \approx 0, \qquad \varphi \approx 0$$

$$\mathcal{H}_{i} = \sum_{I} \Pi^{I} \mathcal{L}_{\vec{N}} \Phi_{I}$$
1st class (spatial diff.)

$$\frac{\pi}{\mathcal{H}_{i}} \left[\mathcal{H}_{i} \right] \varphi$$

$$\frac{\pi}{\mathcal{H}_{i}} \left[\mathcal{H}_{i} \right] \varphi$$

$$\frac{\pi}{\mathcal{H}_{i}} \left[\mathcal{H}_{i} \right] \varphi$$

$$\frac{\pi}{\mathcal{H}_{i}} \left[0 \right] 0 0 0$$

$$\frac{\pi}{\mathcal{H}_{i}} \left[0 \right] 0 0 0$$

$$\frac{\pi}{\mathcal{H}_{i}} \left[0 \right] 0 0 0$$

A single auxiliary constraint

[Z.-B. Yao, M. Oliosi, XG, S. Mukohyama, 2011.00805]

A 20-dim phase space:

$$\Phi_{I} = \{N, N^{i}, h_{ij}\} \qquad \Pi^{I} = \{\pi, \pi_{i}, \pi^{ij}\}$$

$$H_{T} = \int d^{3}x \sqrt{h} \left[\mathcal{H}\left(N, h_{ij}, \pi^{ij}; \nabla_{i}\right) + \nu \varphi\left(N, h_{ij}, \pi^{ij}; \nabla_{i}\right) + \lambda \pi + N^{i} \mathcal{H}_{i} + \lambda^{i} \pi_{i} \right]$$
free function
auxiliary constraint
N is non-dyn.
spatial diff.
8 constraints:

$$\pi \approx 0, \qquad \pi_{i} \approx 0, \qquad \mathcal{H}_{i} \approx 0, \qquad \varphi \approx 0$$

$$\mathcal{H}_{i} = \sum_{I} \Pi^{I} \mathcal{L}_{N} \Phi_{I}$$

$$free function$$

$$\#_{DOF} = \frac{1}{2} (2 \times \#_{var} - 2 \times \#_{1} - \#_{2})$$

$$= \frac{1}{2} (2 \times 10 - 2 \times 6 - 2)$$

$$= 3$$

Further requirement on φ and/or \mathcal{H} .

[Z.-B. Yao, M. Oliosi, XG, S. Mukohyama, 2011.00805]

If $\pi \approx 0$ is pushed to be a first-class constraint.

We need to require (constraint on both φ and \mathcal{H})

$$\frac{\delta \varphi\left(\vec{y}\right)}{\delta N\left(\vec{x}\right)} \approx 0, \qquad \frac{\delta^2 \int \mathrm{d}^3 z \,\mathcal{H}\left(\vec{z}\right)}{\delta N\left(\vec{x}\right) \delta N\left(\vec{y}\right)} \approx 0$$

The general solution:

$$H_{\mathrm{T}} = \int \mathrm{d}^{3}x \Big[\mathcal{V} \left(h_{ij}, \pi^{ij}; \nabla \right) + N \mathcal{H}_{0} \left(h_{ij}, \pi^{ij}; \nabla \right) + \nu \varphi_{0} \left(h_{ij}, \pi^{ij}; \nabla \right) \\ + \lambda \pi + N^{i} \mathcal{H}_{i} + \lambda^{i} \pi_{i} \Big] \\ \frac{\pi}{\pi} \frac{\pi}{\lambda} \frac{$$

[Z.-B. Yao, M. Oliosi, XG, S. Mukohyama, 2011.00805]

If $\pi \approx 0$ is pushed to be a first-class constraint.

We need to require (constraint on both φ and \mathcal{H})

$$\frac{\delta \varphi\left(\vec{y}\right)}{\delta N\left(\vec{x}\right)} \approx 0, \qquad \frac{\delta^2 \int \mathrm{d}^3 z \,\mathcal{H}\left(\vec{z}\right)}{\delta N\left(\vec{x}\right) \delta N\left(\vec{y}\right)} \approx 0$$

The general solution:

One add

$$H_{\rm T} = \int {\rm d}^3x \left[\mathcal{V}\left(h_{ij}, \pi^{ij}; \nabla\right) + N\mathcal{H}_0\left(h_{ij}, \pi^{ij}; \nabla\right) + \nu\varphi_0\left(h_{ij}, \pi^{ij}; \nabla\right) + \lambda\pi + N^i \mathcal{H}_i + \lambda^i \pi_i \right] \\ - \frac{\pi}{\pi} \left[\begin{array}{c} \pi & \pi_i & \mathcal{H}_i & \varphi & \pi \\ \hline \pi & 0 & 0 & 0 & 0 \\ \hline \pi_i & 0 & 0 & 0 & 0 \\ \hline \mathcal{H}_i & 0 & 0 & 0 & 0 \\ \hline \mathcal{H}_i & 0 & 0 & 0 & 0 \\ \hline \mathcal{H}_i & 0 & 0 & 0 \\ \hline \mathcal{H}_i & 0 & 0 & 0 \\ \hline \mathcal{H}_i & 0 & 0 & 0 \\ \hline \mathcal{H}_i & 0 & 0 & 0 \\ \hline \mathcal{H}_i & 0 & 0 & 0 \\ \hline \mathcal{H}_i & 0 & 0 & 0 \\ \hline \mathcal{H}_i & 0 & 0 & 0 \\ \hline \mathcal{H}_i & 0 & 0 & 0 \\ \hline \mathcal{H}_i & 0 & 0 & 0 \\ \hline \mathcal{H}_i & 0 & 0 & 0 \\ \hline \mathcal{H}_i & 0 & 0 & 0 \\ \hline \mathcal{H}_i & 0 & 0 & 0 \\ \hline \mathcal{H}_i & 0 & 0 & 0 \\ \hline \mathcal{H}_i & 0 & 0 & 0 \\ \hline \mathcal{H}_i & 0 & 0 & 0 \\ \hline \mathcal{H}_i & 0 & 0 & 0 \\ \hline \mathcal{H}_i & 0 & 0 & 0 \\ \hline \mathcal{H}_i & 0 & 0 \\ \hline \mathcal{H}_i & 0 & 0 \\ \hline \mathcal{H}_i & 0 \\ \hline \mathcal{H}_i$$

[Z.-B. Yao, M. Oliosi, XG, S. Mukohyama, 2011.00805]

If $\pi \approx 0$ is pushed to be a first-class constraint.

We need to require (constraint on both φ and \mathcal{H})

$$\frac{\delta \varphi\left(\vec{y}\right)}{\delta N\left(\vec{x}\right)} \approx 0, \qquad \frac{\delta^2 \int \mathrm{d}^3 z \,\mathcal{H}\left(\vec{z}\right)}{\delta N\left(\vec{x}\right) \delta N\left(\vec{y}\right)} \approx 0$$

The general solution:

$$H_{\rm T} = \int d^3x \Big[\mathcal{V} \left(h_{ij}, \pi^{ij}; \nabla \right) + N \mathcal{H}_0 \left(h_{ij}, \pi^{ij}; \nabla \right) + \nu \varphi_0 \left(h_{ij}, \pi^{ij}; \nabla \right) \\ + \lambda \pi + N^i \mathcal{H}_i + \lambda^i \pi_i \Big]$$

$$\#_{\text{DOF}} = \frac{1}{2} \left(2 \times \#_{\text{var}} - 2 \times \#_1 - \#_2 \right)$$

= $\frac{1}{2} \left(2 \times 10 - 2 \times (6+1) - (2-1+1) \right)$
= 2

[Z.-B. Yao, M. Oliosi, XG, S. Mukohyama, 2011.00805]

If $\pi \approx 0$ remains to be a second-class constraint.

We need to require (constraint on φ only)

$$\frac{\delta\varphi\left(\vec{y}\right)}{\delta N\left(\vec{x}\right)} \approx 0, \qquad \int \mathrm{d}^{3}z \left(\frac{\delta\varphi\left(\vec{x}\right)}{\delta h_{ij}\left(\vec{z}\right)} \frac{\delta\varphi\left(\vec{y}\right)}{\delta\pi^{ij}\left(\vec{z}\right)} - \left(\vec{x}\leftrightarrow\vec{y}\right)\right) \approx 0$$

A special solution:

$$H_{\mathrm{T}} = \int \mathrm{d}^{3}x \Big[\mathcal{H}\left(N, h_{ij}, \pi^{ij}; \nabla\right) + \nu \tilde{\varphi}\left(h_{ij}, \pi^{ij}\right) + \lambda \pi + N^{i} \mathcal{H}_{i} + \lambda^{i} \pi_{i} \Big] \\ \frac{\pi}{\pi} \frac{|\pi|}{|\pi|} \frac{|\mathcal{H}_{i}|}{|\varphi|} \frac{\varphi}{|\pi|} \frac{\pi}{|\pi|} \frac{|\nabla|}{|\varphi|} \frac{|\pi|}{|\pi|} \frac{|\nabla|}{|\pi|} \frac{|\nabla|}{|\varphi|} \frac{|\nabla|}{|\pi|} \frac{|\nabla|}{|\varphi|} \frac$$

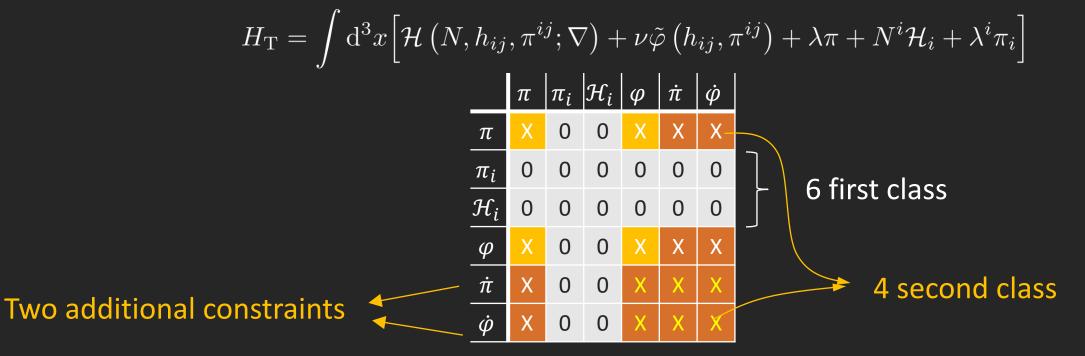
[Z.-B. Yao, M. Oliosi, XG, S. Mukohyama, 2011.00805]

If $\pi \approx 0$ remains to be a second-class constraint.

We need to require (constraint on φ only)

$$\frac{\delta\varphi\left(\vec{y}\right)}{\delta N\left(\vec{x}\right)} \approx 0, \qquad \int \mathrm{d}^{3}z \left(\frac{\delta\varphi\left(\vec{x}\right)}{\delta h_{ij}\left(\vec{z}\right)} \frac{\delta\varphi\left(\vec{y}\right)}{\delta\pi^{ij}\left(\vec{z}\right)} - \left(\vec{x}\leftrightarrow\vec{y}\right)\right) \approx 0$$

A special solution:



[Z.-B. Yao, M. Oliosi, XG, S. Mukohyama, 2011.00805]

If $\pi \approx 0$ remains to be a second-class constraint.

We need to require (constraint on φ only)

$$\frac{\delta\varphi\left(\vec{y}\right)}{\delta N\left(\vec{x}\right)} \approx 0, \qquad \int \mathrm{d}^{3}z \left(\frac{\delta\varphi\left(\vec{x}\right)}{\delta h_{ij}\left(\vec{z}\right)} \frac{\delta\varphi\left(\vec{y}\right)}{\delta\pi^{ij}\left(\vec{z}\right)} - \left(\vec{x}\leftrightarrow\vec{y}\right)\right) \approx 0$$

A special solution:

$$H_{\mathrm{T}} = \int \mathrm{d}^{3}x \Big[\mathcal{H}\left(N, h_{ij}, \pi^{ij}; \nabla\right) + \nu \tilde{\varphi}\left(h_{ij}, \pi^{ij}\right) + \lambda \pi + N^{i} \mathcal{H}_{i} + \lambda^{i} \pi_{i} \Big]$$

$$\#_{\text{DOF}} = \frac{1}{2} \left(2 \times \#_{\text{var}} - 2 \times \#_1 - \#_2 \right)$$

$$= \frac{1}{2} \left(2 \times 10 - 2 \times 6 - (2+2) \right)$$

$$= 2$$

Multiple auxiliary constraints

[Z.-B. Yao, M. Oliosi, XG, S. Mukohyama, 2302.02090]

A general Hamiltonian with multiple auxiliary constraints:

$$H_{\rm T} = \int d^3x \Big[\mathscr{H} \left(N, \pi, h_{ij}, \pi^{ij}; \nabla_i \right) + N^i \mathcal{H}_i + \lambda^i \pi_i \Big] + \mu_{\rm n} \mathcal{S}^{\rm n} + \nu_{\rm m}^i \mathcal{V}_i^{\rm m} + \rho_{\rm r}^{ij} \mathcal{T}_{ij}^{\rm r} \Big] \qquad \text{spatial covariance}$$

Auxiliary constraints

(scalar)	$\mathcal{S}^{\mathrm{n}} pprox 0^{\mathrm{n}},$	$\mathrm{n}=1,\cdots,\mathcal{N}$
(vector)	$\mathcal{V}^{\mathrm{m}}_{i}pprox 0^{\mathrm{m}}_{i},$	$\mathrm{m}=1,\cdots,\mathcal{M}$
(tensor)	$\mathcal{T}^{\mathrm{r}}_{ij}pprox 0^{\mathrm{r}}_{ij},$	$\mathrm{r}=1,\cdots,\mathcal{R}$

We assume them to be second class (the general case).

Multiple auxiliary constraints

[Z.-B. Yao, M. Oliosi, XG, S. Mukohyama, 2302.02090]

$$\#_{dof} = (2_{t} - \mathcal{R}_{t}) - (\mathcal{M}_{v} + \mathcal{R}_{v}) + \frac{1}{2}(4_{s} - \mathcal{N}_{s} - \mathcal{M}_{s} - 2 \times \mathcal{R}_{s})$$

tensor vector scalar
F no auxiliary constraints: 4 dof = 2t+2s

We need to require: $2 - \mathcal{R} \ge 0$, $\mathcal{M} + \mathcal{R} \le 0$ $4 - \mathcal{N} - \mathcal{M} - 2\mathcal{R} \ge 0$

$$\mathcal{R} = 0, \quad \mathcal{M} = 0, \quad \mathcal{N} \le 4$$

No vector nor tensor constraints; No more than 4 scalar constraints.

Multiple auxiliary constraints

[Z.-B. Yao, M. Oliosi, XG, S. Mukohyama, 2302.02090]

A general Hamiltonian with scalar auxiliary constraints:

$$H_{\rm T} = \int \mathrm{d}^3 x \left(\mathscr{H} + \mu_{\rm n} \mathcal{S}^{\rm n} + N^i \mathcal{H}_i + \lambda^i \pi_i \right)$$

$$\#_{dof} = 2_t + \frac{1}{2} \left(4_s - \#_{1st}^s \times 2 - \#_{2nd}^s \right)$$

$$4 - \#_{1st}^{s} \times 2 - \#_{2nd}^{s} = 0 \qquad \qquad \mathcal{N} \le \#_{1st}^{s} + \#_{2nd}^{s} \le 4$$

Classification of TTDOF theories

[Z.-B. Yao, M. Oliosi, XG, S. Mukohyama, 2302.02090]

The "minimalizing" and "symmetrizing" conditions.

TABLE I.	The minimalizing and symmetrizing conditions.						
# ACs	Minimalizing conditions	Symmetrizing conditions	Classifications	Identification key	Examples		
$#^{s} = 4$	None	None	$\#_{1st}^{s} = 0, \ \#_{2nd}^{s} = 4$	IV-0-4	Mixed traces		
# ^s = 3	$[\mathcal{S}^1,\mathcal{S}^n]$	$[\mathcal{S}^1,\mathcal{H}]$ None	$\#_{1st}^{s} = 1, \ \#_{2nd}^{s} = 2$ $\#_{1st}^{s} = 0, \ \#_{2nd}^{s} = 4$	III-1-2 III-0-4	Unknown Unknown		
# ^s = 2	$\left[\mathcal{S}^1, \mathcal{S}^n ight]$ & $\left[\mathcal{S}^2, \mathcal{S}^2 ight]$	$egin{array}{llllllllllllllllllllllllllllllllllll$	$\#^{s}_{1st} = 2, \ \#^{s}_{2nd} = 0 \ \#^{s}_{1st} = 1, \ \#^{s}_{2nd} = 2$	II-2-0 II-1-2b	Unknown Unknown		
	$[\mathcal{S}^1,\mathcal{S}^n]$ & $[\mathcal{S}^1,\dot{\mathcal{S}}^1]$	None $[\dot{S}^1, H_P]$ None	$\begin{array}{l} \#_{1\text{st}}^{\text{s}} = 0, \ \#_{2\text{nd}}^{\text{s}} = 4 \\ \#_{1\text{st}}^{\text{s}} = 1, \ \#_{2\text{nd}}^{\text{s}} = 2 \\ \#_{1\text{st}}^{\text{s}} = 0, \ \#_{2\text{nd}}^{\text{s}} = 4 \end{array}$	II-0-4b II-1-2a II-0-4a	Linear AC 4dEGB Unknown		
$\#^{s} = 1$	$[\mathcal{S}^1,\mathcal{S}^1],~[\mathcal{S}^1,\dot{\mathcal{S}}^1]$ & $[\dot{\mathcal{S}}^1,\dot{\mathcal{S}}^1]$	$[\dot{{\mathcal S}}^1,{\mathcal H}]$	$\#_{1st}^{s} = 2, \ \#_{2nd}^{s} = 0$	I-2-0	$\operatorname{GR} \& f(\mathcal{H})$		
	$[\mathcal{S}^1,\mathcal{S}^1], [\mathcal{S}^1,\dot{\mathcal{S}}^1]$ & $[\mathcal{S}^1,\ddot{\mathcal{S}}^1]$	$[\dot{\mathcal{S}}^1, \ddot{\mathcal{S}}^1]$ $[\ddot{\mathcal{S}}^1, \mathcal{H}]$ None	$\begin{aligned} \#^{s}_{1st} &= 1, \ \#^{s}_{2nd} &= 2 \\ \#^{s}_{1st} &= 1, \ \#^{s}_{2nd} &= 2 \\ \#^{s}_{1st} &= 0, \ \#^{s}_{2nd} &= 4 \end{aligned}$	I-1-2b I-1-2a I-0-4	Unknown Cuscuton & QEC Unknown		

An example: Cayley-Hamilton construction

[Z.-B. Yao, M. Oliosi, XG, S. Mukohyama, 2302.02090]

$$\begin{aligned} \mathscr{R}^{\mathrm{I}} &\equiv \left\{ R_{i}^{i}, R_{j}^{i} R_{i}^{j}, R_{j}^{i} R_{k}^{j} R_{i}^{k} \right\} \\ \Pi^{\mathrm{I}} &\equiv \left\{ \pi_{i}^{i}, \pi_{j}^{i} \pi_{i}^{j}, \pi_{j}^{i} \pi_{k}^{j} \pi_{i}^{k} \right\} \\ \mathscr{Q}^{\mathrm{I}} &\equiv \left\{ R_{j}^{i} \pi_{i}^{j}, R_{j}^{i} \pi_{k}^{j} \pi_{i}^{k}, R_{j}^{i} R_{k}^{j} \pi_{i}^{k} \right\} \end{aligned}$$

$$\mathcal{S}^{\mathrm{I}} = \mathscr{Q}^{\mathrm{I}} - \mathscr{P}^{\mathrm{I}}(N) \approx 0, \quad \mathrm{I} = 1, 2, 3$$

$$H_{\mathrm{T}}^{(\mathrm{C.H.})} = \int \mathrm{d}^{3}x \left[\mathscr{H}^{(\mathrm{C.H.})} + N^{i}\mathcal{H}_{i} + \lambda^{i}\pi_{i} + \lambda\pi + \mu_{\mathrm{I}} \left(\mathscr{Q}^{\mathrm{I}} - \mathscr{P}^{\mathrm{I}} \right) \right],$$

$$\mathscr{H}^{(\mathrm{C.H.})} = \mathscr{H}^{(\mathrm{C.H.})}\left(N, R_{ij}, \pi^{ij}\right)$$

Summary

There are non-GR theories propagating TTDOF's respecting only spatial covariance.

We find the TTDOF conditions in 2 approaches:

- 1. (Lagrangian side) spatially covariant gravity with TTDOFs;
- 2. (Hamiltonian side) TTDOFs with auxiliary constraint(s).

Open questions:

Concrete Lagrangian (so that can be applied in practice)?

Different from/equivalent to GR?

To be tested against the observations?

Thank you for your attention!